



## International Seminar

### Assessment and improvement of structural safety under seismic actions of existing constructions: Reinforced Concrete Structures and Historical buildings

SCE - Shamo'on College of Engineering, Beer Sheva - 29 November 2015

International Conservation Center, Citta' di Roma, Old Acre - 1 December 2015

### Lessons learned from the past earthquakes: damages catalogues and interpretation

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# EFFECTS OF EARTHQUAKES ON CULTURAL HERITAGE



L'Aquila earthquake – 2009

Specific approaches are needed to evaluate and reduce their vulnerability while safeguarding their artistic and historical values

→ Knowledge is the first step

Historical buildings are particularly threatened by earthquake events



# SAFETY STANDARDS FOR HISTORICAL STRUCTURES

ISO 13822 (bases for design of structures – assessment of existing structures - 2001)

**Assessment of safety:** Structures designed and based on earlier codes, or designed and constructed in accordance with good construction practice when no codes applies, may be considered safe to resist actions others than accidental actions (including earthquake) provided that:

- Careful inspection does not reveal any evidence of significant damage, distress or deterioration
- The structural system is reviewed, including investigation of critical details and checking them for stress transfer
- The structure has demonstrated satisfactory performance for a sufficiently long period of time for extreme actions due to use and environmental effects to have occurred
  - Predicted deterioration taking into account the present condition and planned maintenance ensures sufficient durability
  - There have been no changes for a sufficiently long period of time that could significantly increase the actions on the structure or affect its durability, and no such changes are anticipated



# EARTHQUAKES EXPERIENCE (ITALY)

The experience of earthquake that struck Italy (Umbria-Marche 1997, Abruzzo 2009, Emilia 2012) showed that existing masonry buildings fail due to mechanisms related to low masonry quality or lack of connections among structural components.

→ rigid-body mechanisms (mainly out-of-plane) causing the loss of equilibrium of walls, portions or assemblages

Some strengthening techniques which became very popular and even compulsory according the previous seismic code (injections, jacketing, replacement of flexible floors with stiff floors) showed their limits.

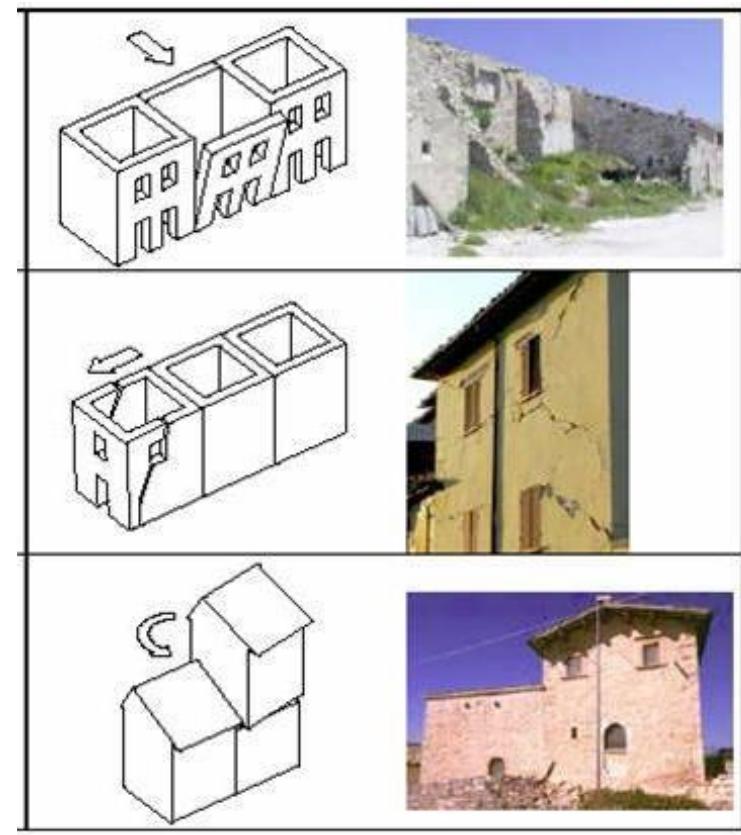
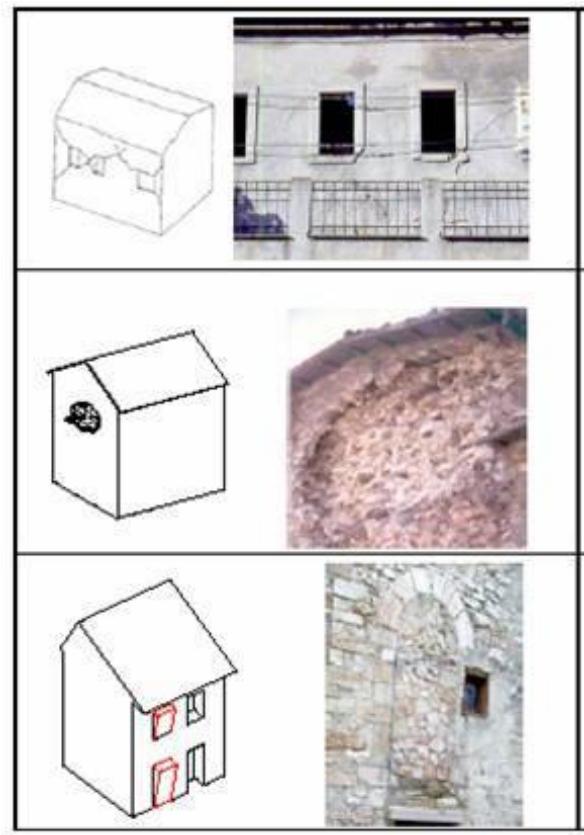
→ low performances or the structural behaviour of existing masonry buildings even worsened



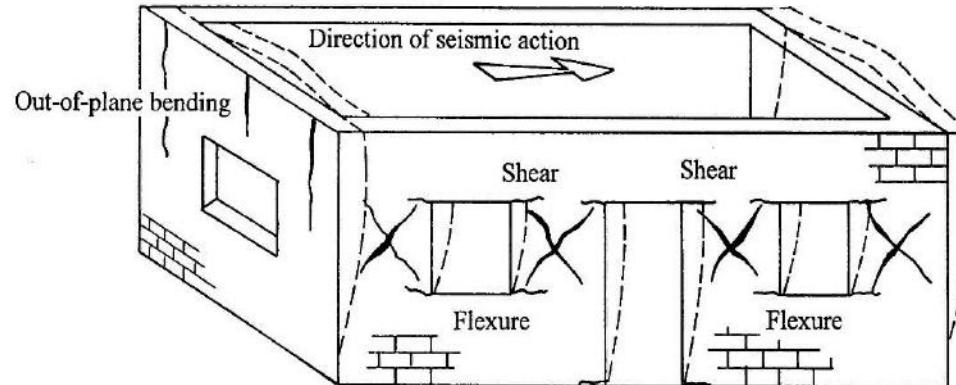
# EARTHQUAKES EXPERIENCE (ITALY)

Since the experience of the Umbria-Marche earthquake, the damage observation, together with experimental and theoretical studies, also underlined that the seismic response is influenced by the interaction with close buildings

→ local effects occurring earlier than a global behaviour can be developed



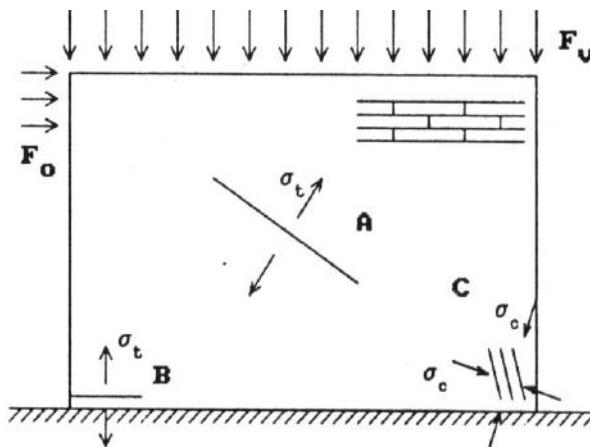
# MASONRY BUILDING BEHAVIOUR UNDER SEISM



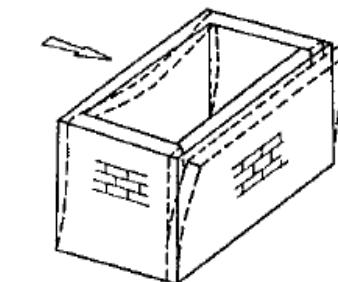
Building deformation and typical damage of the bearing masonry structural elements under seismic action

(Tomazevic, 1999)

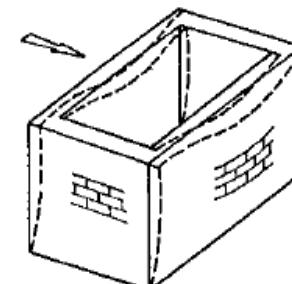
Wall damage for in-plane actions



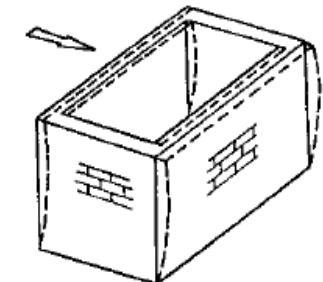
Effect of connections (wall-to-wall; wall-to floor/roof)  
Effect of type of floors



Flexible floor and walls without connections

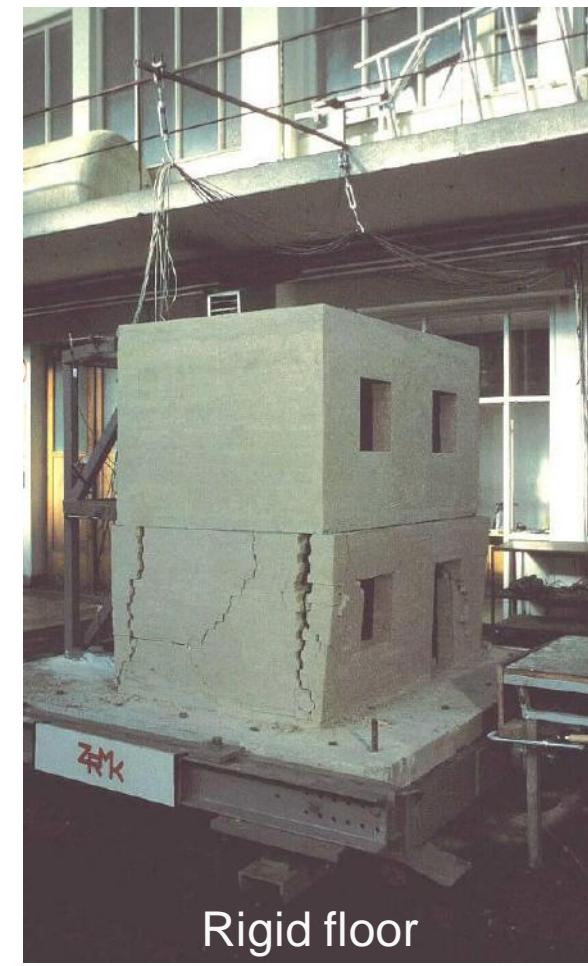


Flexible floor and connected walls



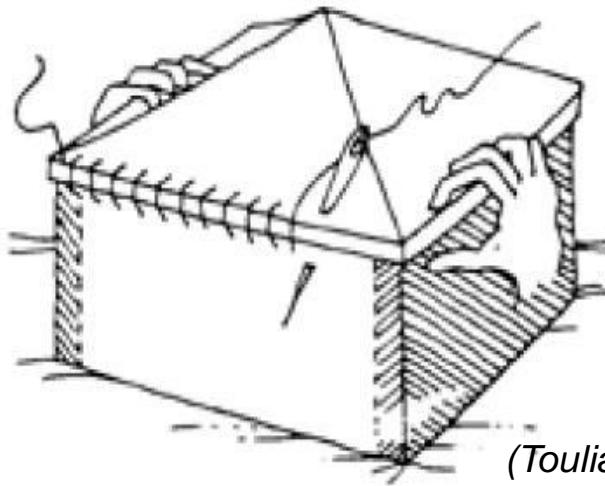
Rigid floor and connected walls

# EARLY EXPERIMENTAL STUDY ON SHAKING TABLE



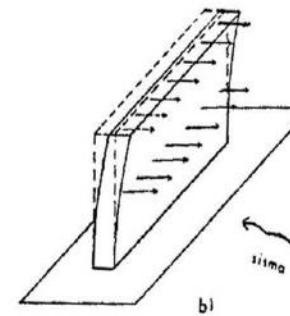
(ZAG Slovenia, Tomazevic )

# RESPONSE TO EARTHQUAKE: STRUCTURAL CONFIGURATION

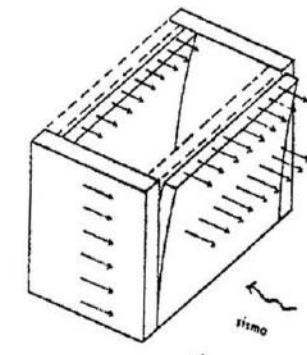


(Touliatos, 1996)

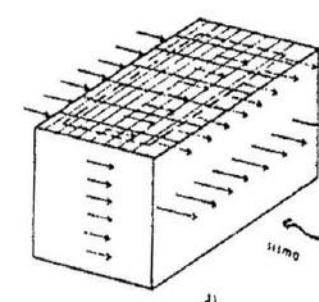
- a) isolated wall (non connected)
- b) high-stiffness floor
- c) no connections among elements
- d) wall-to-wall and wall-to-floor connection by ring-beam



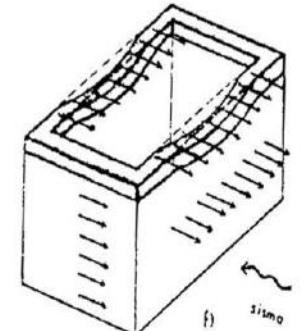
b)



c)



d)



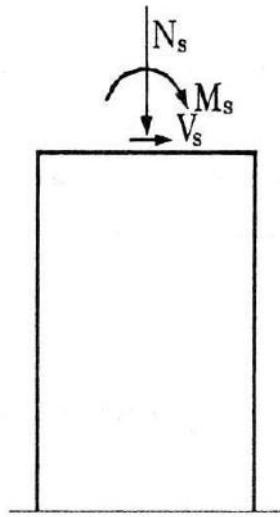
e)

## BOX-LIKE BEHAVIOR

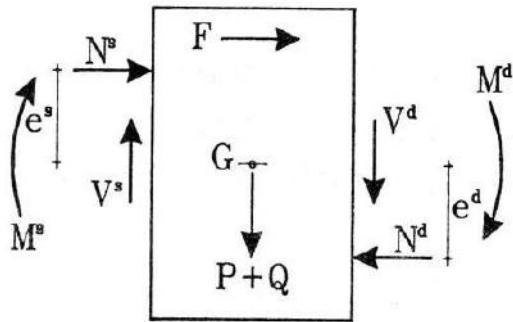
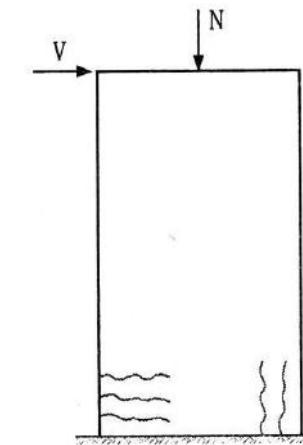
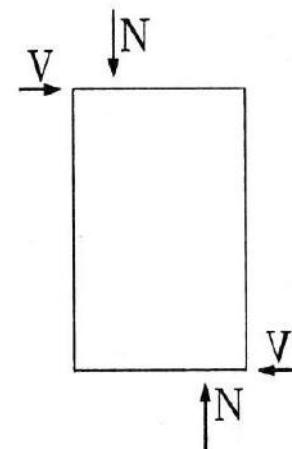
Horizontal forces absorbed by the walls in their plane

- Floors/roof with “sufficient” in-plane stiffness
- Adequate connection between walls
- Adequate wall-to-floor and wall-to-roof connections

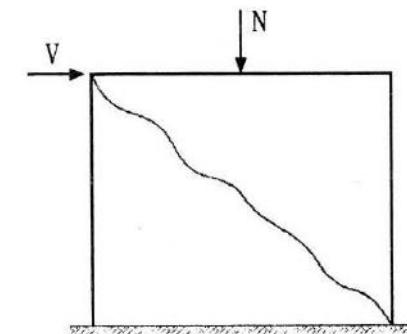
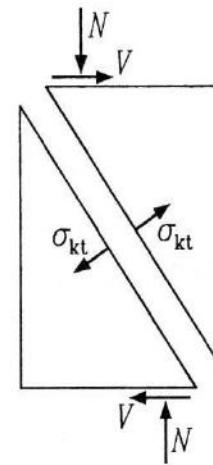
# IN-PLANE FAILURE MECHANISMS



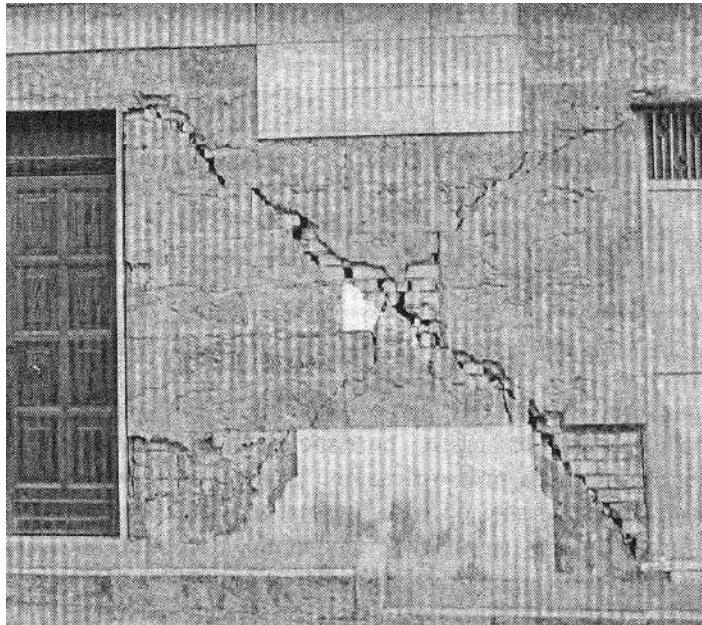
Flexure



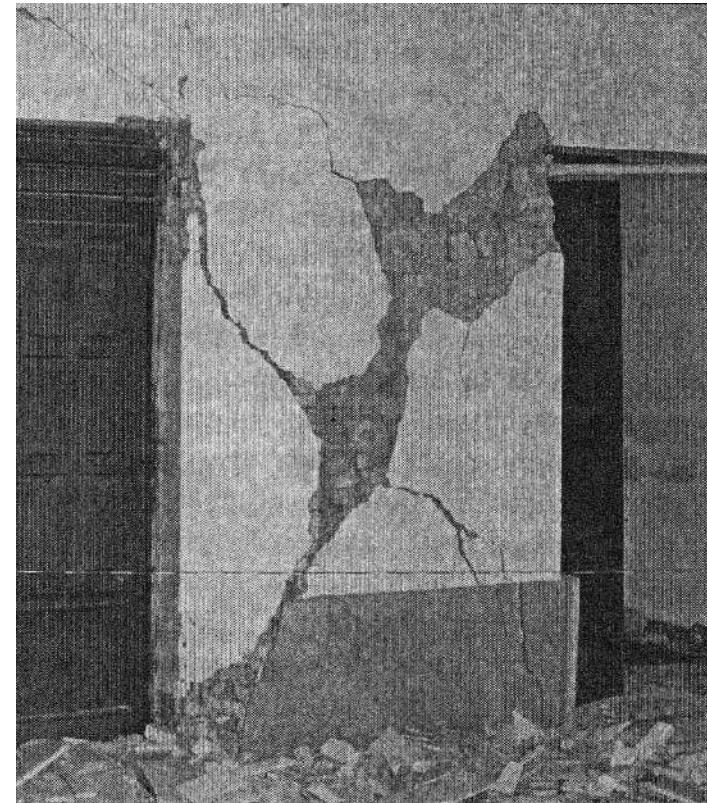
Shear



# IN-PLANE FAILURE MECHANISMS

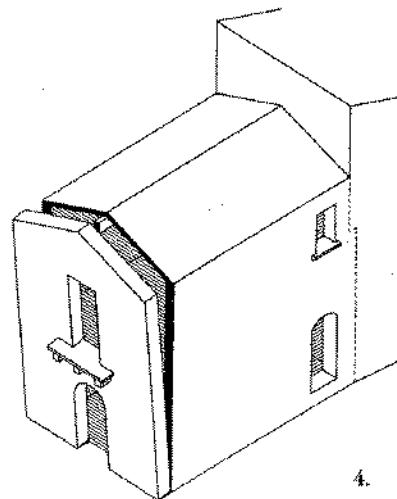


Shear in a squat wall

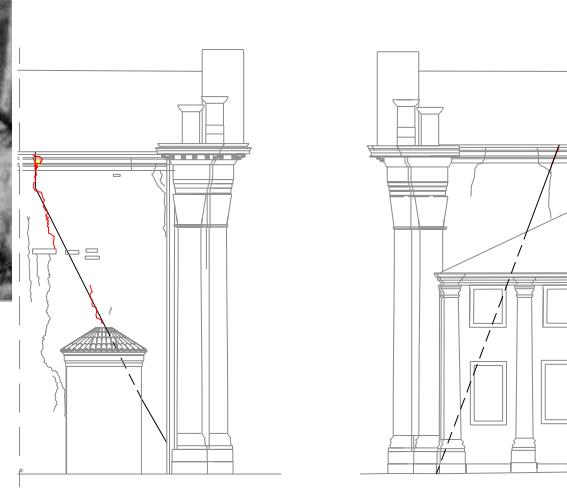


Flexure (and shear) in a slender wall

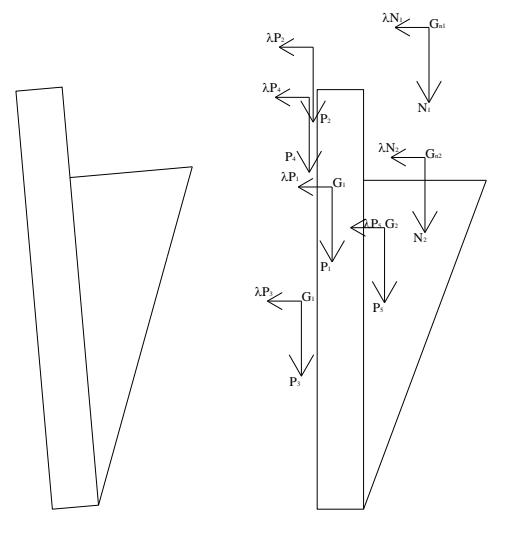
# OUT-OF-PLANE COLLAPSE MECHANISMS



$$\lambda = \frac{P_1 \times \frac{b_1}{2} + N_1 \times d_1}{(P_1 + P_2) \times \frac{h}{2} + (N_1 + N_2) \times h} \dots$$

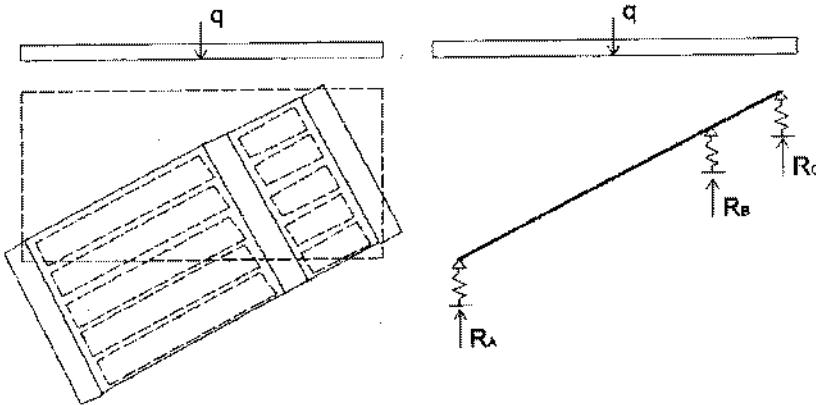


- Brittle mechanism
- Occur early respect to in-plane failures

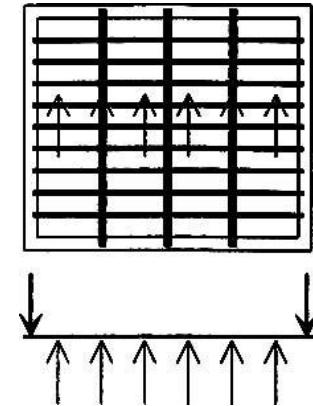


# ROLE OF FLOORS

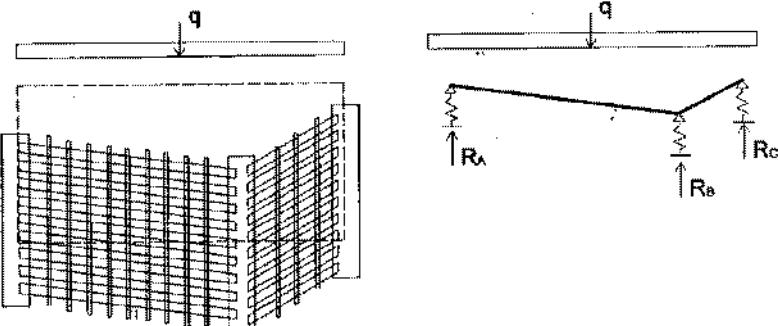
## Rigid floor behaviour



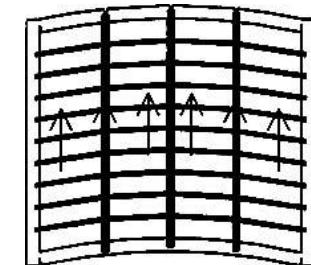
Rigid floors allow an iperstatic redistribution of horizontal forces among shear walls



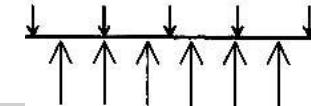
## Flexible floor behaviour



Flexible floors allow an isostatic redistribution of horizontal forces among shear walls

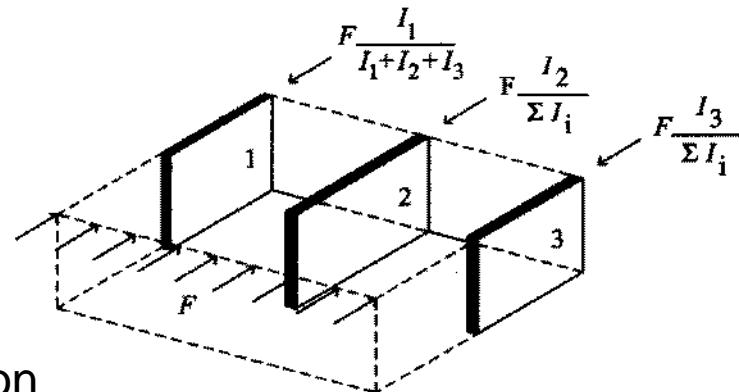


(Ceravolo et Demarie 2004)



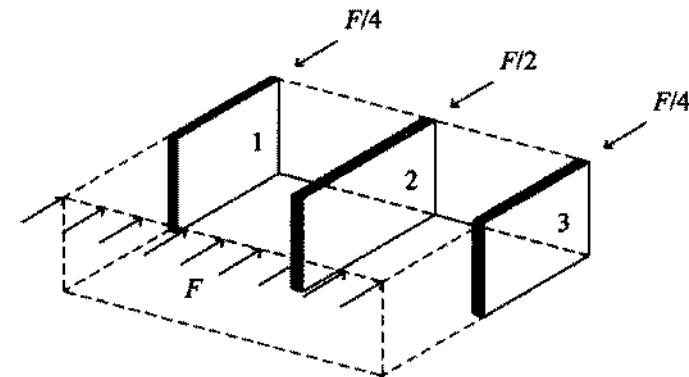
# ROLE OF FLOORS

Rigid floor

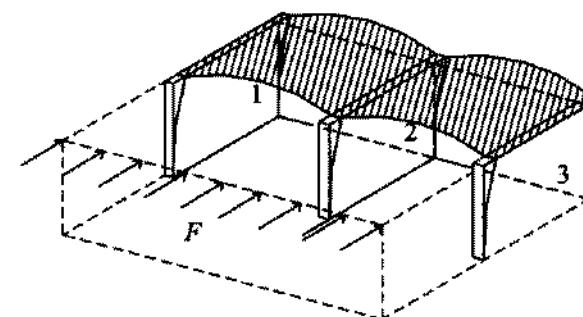
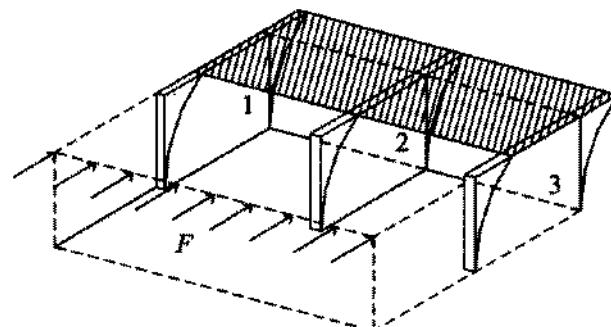


Shear  
distribution

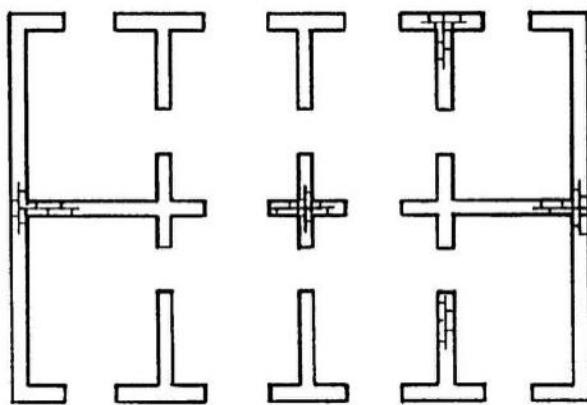
Flexible floor



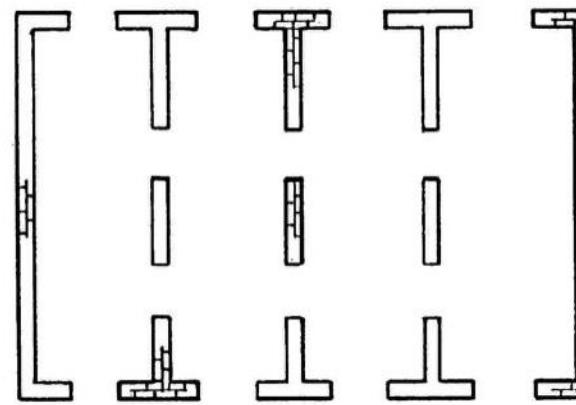
Lateral  
deflections



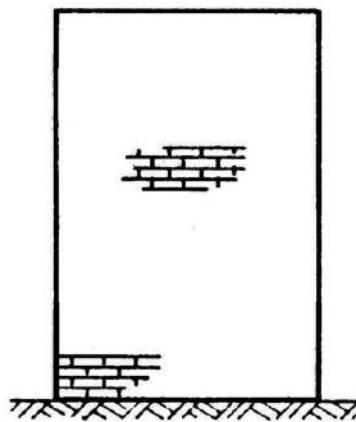
# GEOMETRIC UNIFORMITY



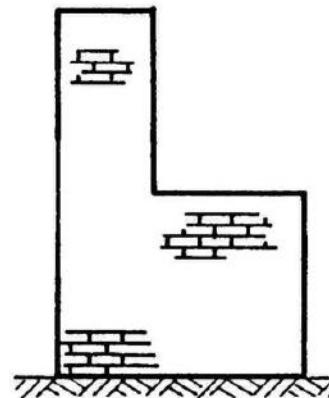
Adequate



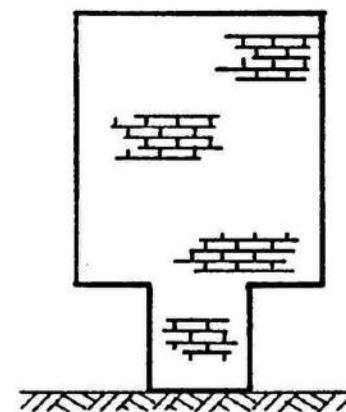
Not adequate



Adequate



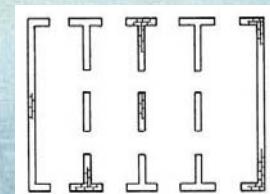
Not adequate



Bad

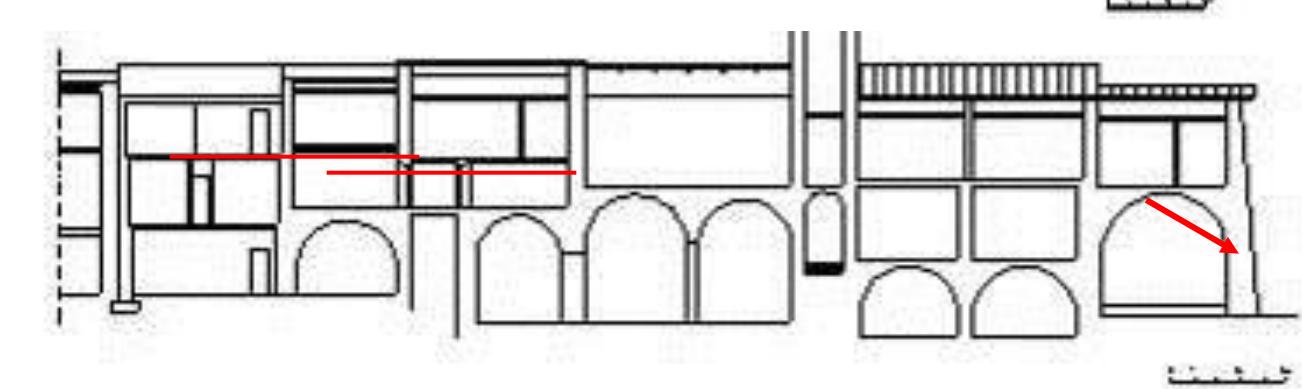
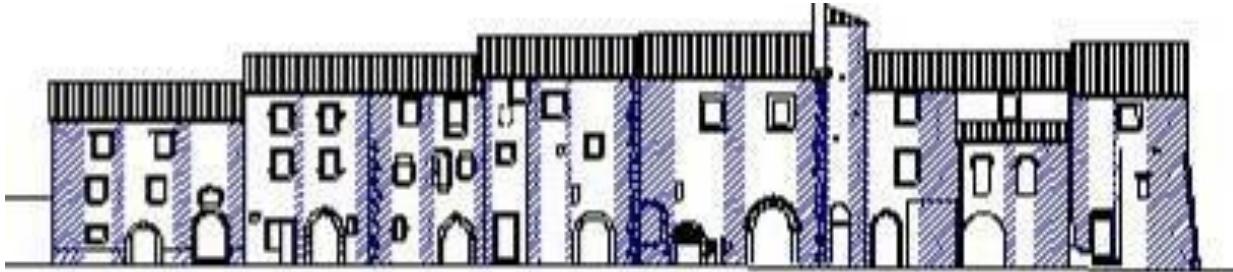
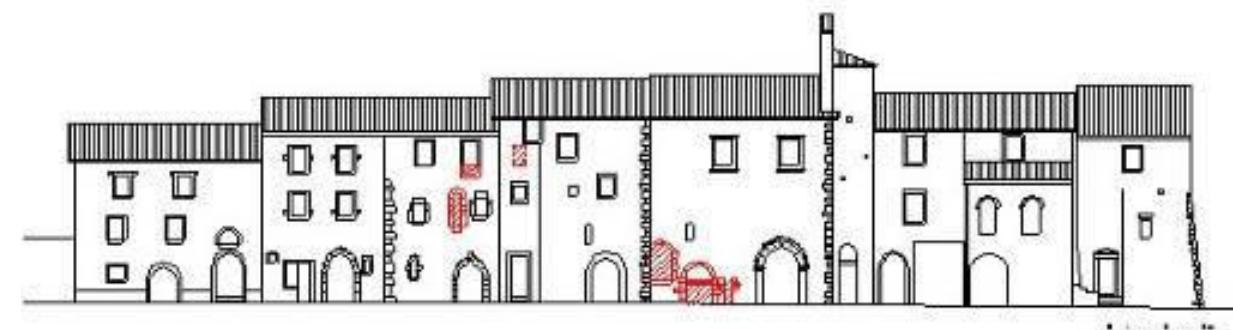
(Tomazevic 1999)

# GEOMETRIC UNIFORMITY



Neftegorsk, 1995 (Tomazevic)

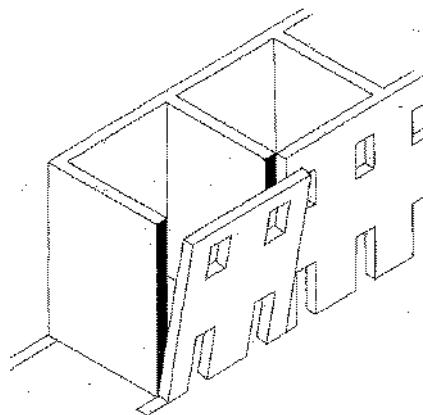
## OTHER VULNERABILITY ASPECTS: OPENINGS AND FLOORS ALIGNMENT, VAULTS THRUST



# RESPONSE TO EARTHQUAKE OF EXISTING BUILDINGS

An extensive work of classification was developed for several case studies (buildings and centers), and an “abacus” of mechanisms of collapse was defined.

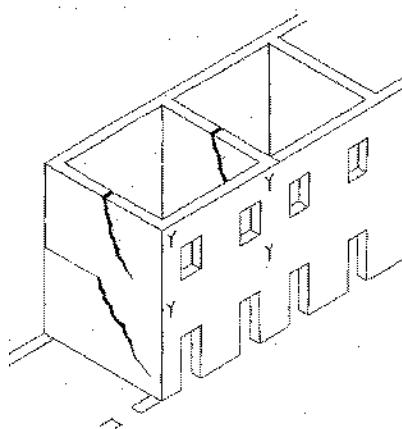
It includes the various “local” modes of loss of equilibrium of portions (macro-elements) which develop instead of a “overall” response.



## Local behaviour

### OUT-OF-PLANE MECHANISMS

Out-of-plane brittle collapse of walls or their portions or assemblages even for low seismic actions



## Overall behaviour

### IN-PLANE MECHANISMS

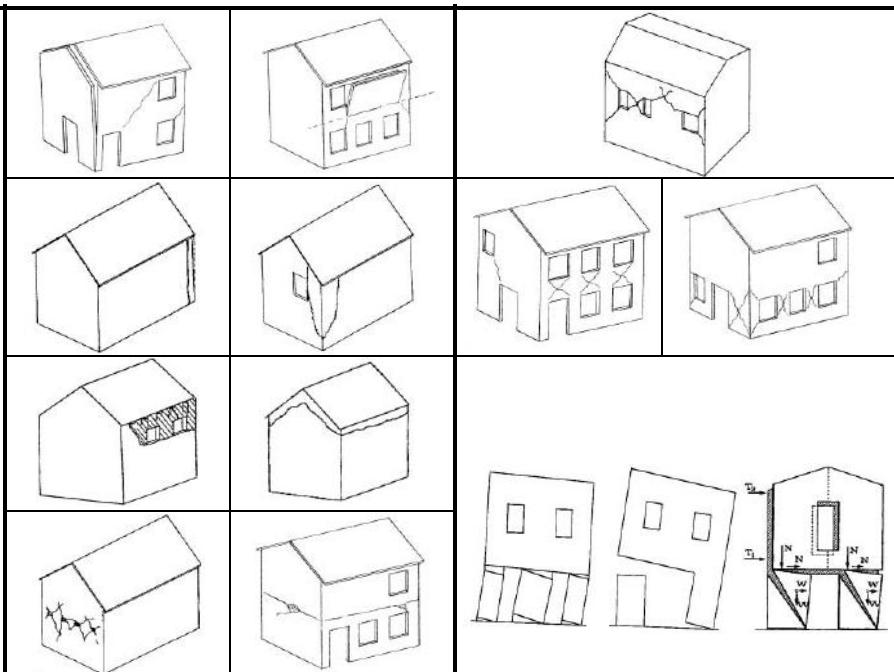
In-plane failure, no out-of-plane collapses for seismic action higher than for the previous case

# CLASSIFICATION OF DAMAGE MECHANISMS FOR BUILDINGS

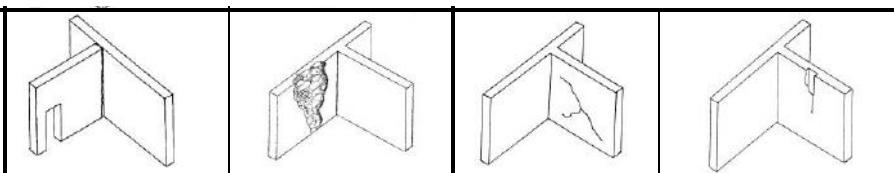
## OUT OF PLANE

## IN-PLANE

Perimetral walls



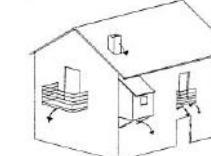
Internal walls



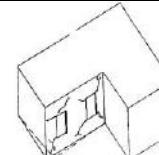
Discontinuity

Horizontal structures

Terraces and chimneys



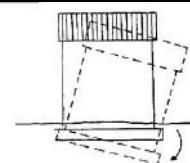
Irregularities in plan and elevation



Buildings interaction



Building-soil interaction



# PERIMETRAL WALLS: OUT OF PLANE MECHANISMS

- *Damage:*

Total façade collapse

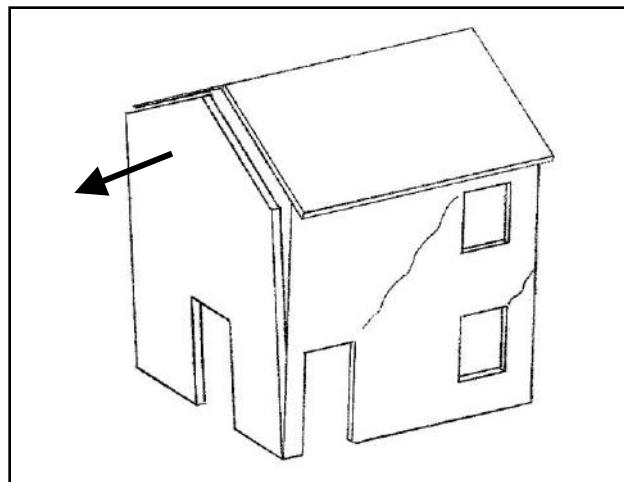
- *Mechanism:*

Out of plane rotation of the façade with formation of a cylindrical horizontal hinge at the base of the wall

- *Structural causes:*

Scarce connection between orthogonal walls

Absence of ties and tie beams



# PERIMETRAL WALLS: OUT OF PLANE MECHANISMS

- Damage:

Collapse of the corner

- Mechanism:

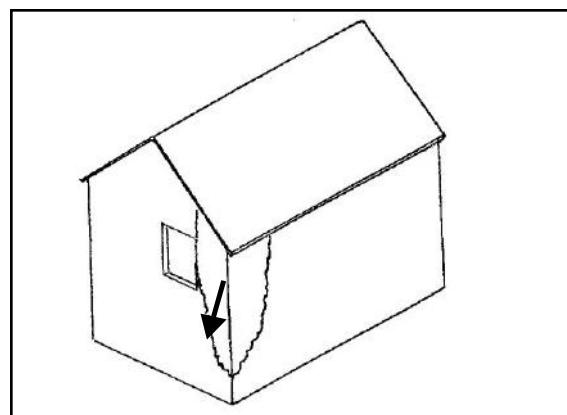
Out of plane rotation of the masonry corner

- Structural causes:

Scarce connection between orthogonal walls

Scarce connection between floors and walls

Presence of openings close by the corner



## PERIMETRAL WALLS: DAMAGE DUE TO FLOORS AND ROOFS SUBSTITUTION



Expulsion of the façade

- Stiffening of horizontal diaphragms with non adequate strengthening of masonry walls



Rigid sliding of roof

# PERIMETRAL WALLS: MECHANISMS INFLUENCED BY THE ROOF

- Damage:

Local collapse of the tympanum

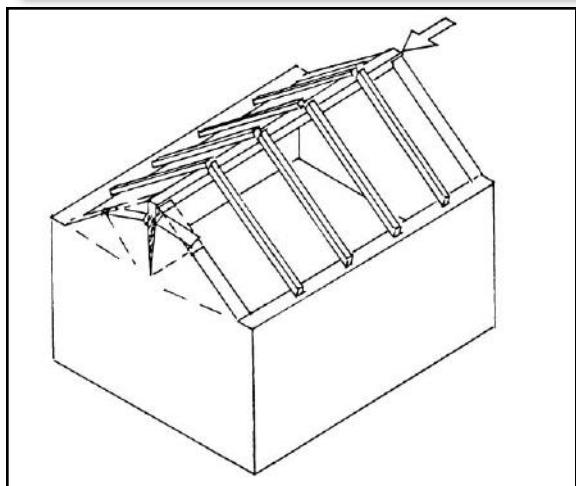
Local collapse of the cornice

- Mechanism:

Out of plane rotation of portion of the façade due to the pounding of the top roof beam

- Structural causes:

Scarce connection between roof and orthogonal walls



# PERIMETRAL WALLS: LOW QUALITY OF MASONRY

- Damage:

- Detachment of masonry leaves

- Local collapse and material expulsion

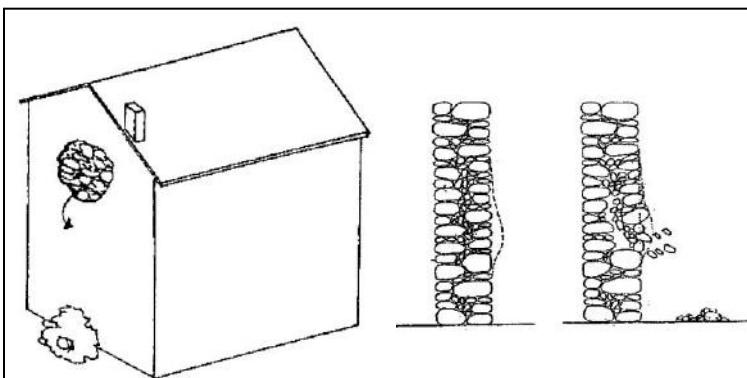
- Mechanism:

- Out of plane rotation of portion of the external masonry leaf

- Structural causes:

- Scarce quality of masonry walls

- Deterioration of masonry walls



# PERIMETRAL WALLS, IN PLANE MECHANISMS: SHEAR CRACKING IN THE LINTELS

- Damage:

Crossed or diffused diagonal cracks above the lintels

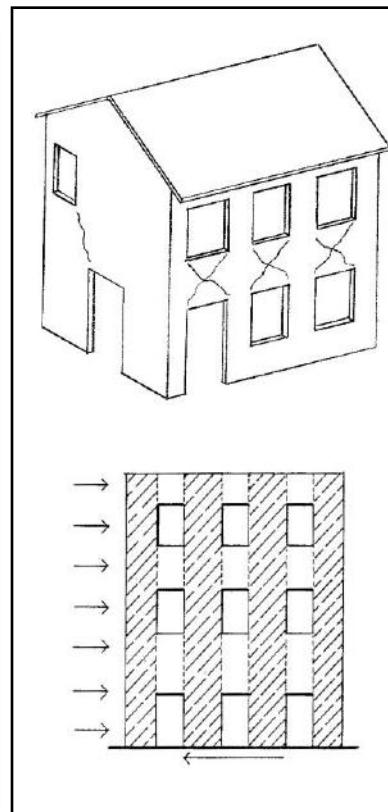
- Mechanism:

Shear cracking of the spandrel walls due to in-plane flexural behaviour

- Structural causes:

Presence of weak lintels?? architravi?

Masonry piers between openings with small height and thickness



# PERIMETRAL WALLS, IN PLANE M.: SHEAR CRACKING IN THE MASONRY PIERS

- Damage:

Crossed (in the central masonry piers) or inclined diagonal cracks in the squat masonry piers

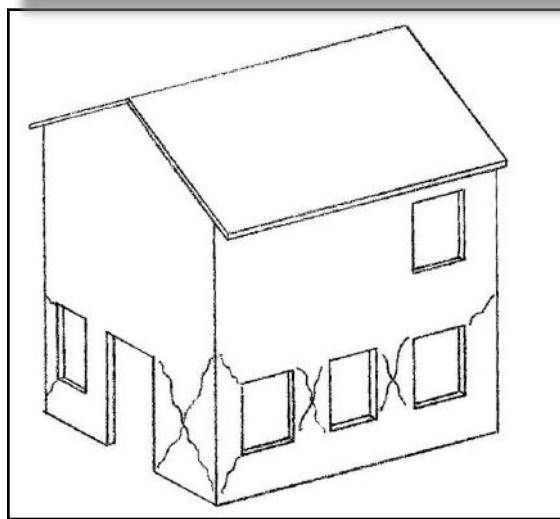
- Mechanism:

Shear cracking of the wall under in-plane actions

- Structural causes:

Presence of many openings

Scarce quality of the masonry or presence of discontinuities



# PERIMETRAL WALLS, IN PLANE M.: OVERTURNING OF THE MASONRY PIERS

- Damage:

Horizontal cracks (tensioned corner)  
localized mainly at the base of the  
building

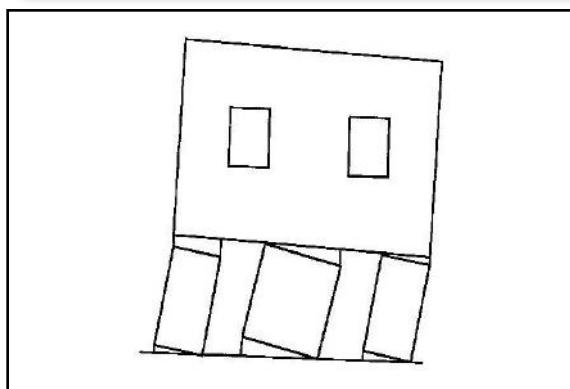
Eventual crushing of the compressed  
toe, due to attainment of maximum  
compressive strength

- Mechanism:

OVERTURNING of the masonry piers  
due to in-plane rotation

- Structural causes:

Excessive slenderness of the  
masonry piers due to the presence  
of many openings or large openings



# IRREGULARITIES (SHAPE/PLAN/VOLUME)

- Damage:

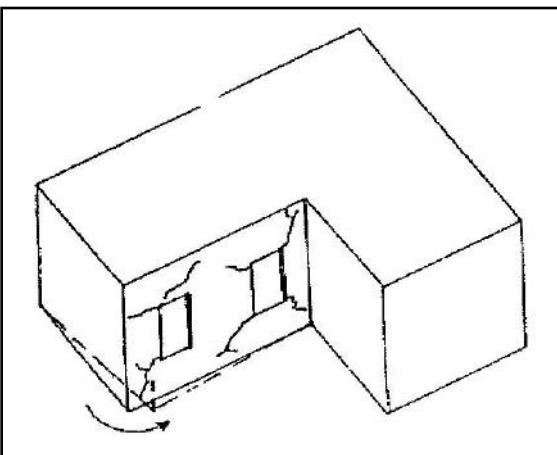
Shear cracking

- Mechanism:

Rotation of portions of the building

- Structural causes:

Irregular shape (plan) and  
consequent irregular distribution of  
horizontal forces



# BUILDINGS INTERACTION: HAMMERING OF ADJACENT BUILDINGS

- *Damage:*

Vertical cracking at the joint between adjacent buildings

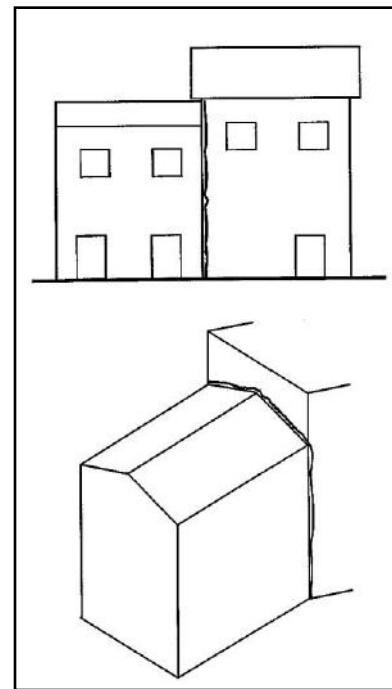
- *Mechanism:*

Different seismic response of adjacent buildings characterized by the presence of ineffective joint

- *Structural causes:*

Scarce connection between the buildings

Different stiffness of connecting structural bodies



# BUILDING-SOIL INTERACTION

- Damage:

Large cracking (vertical ad sub-  
vertical)

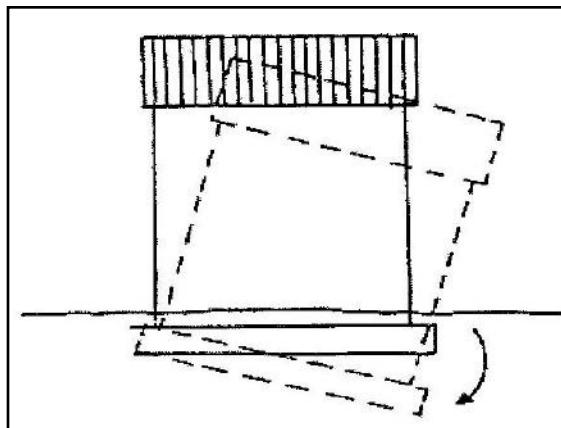
- Mechanism:

Out-of-plane rotation and/or sliding  
of portions or the whole building

- Structural causes:

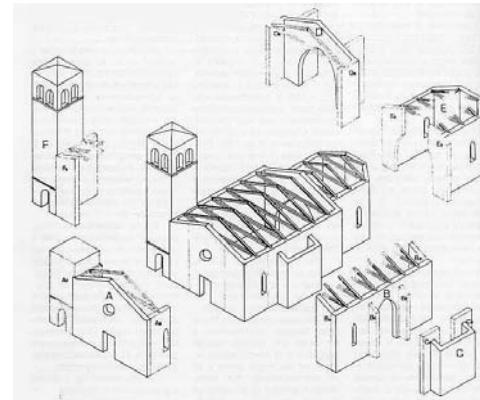
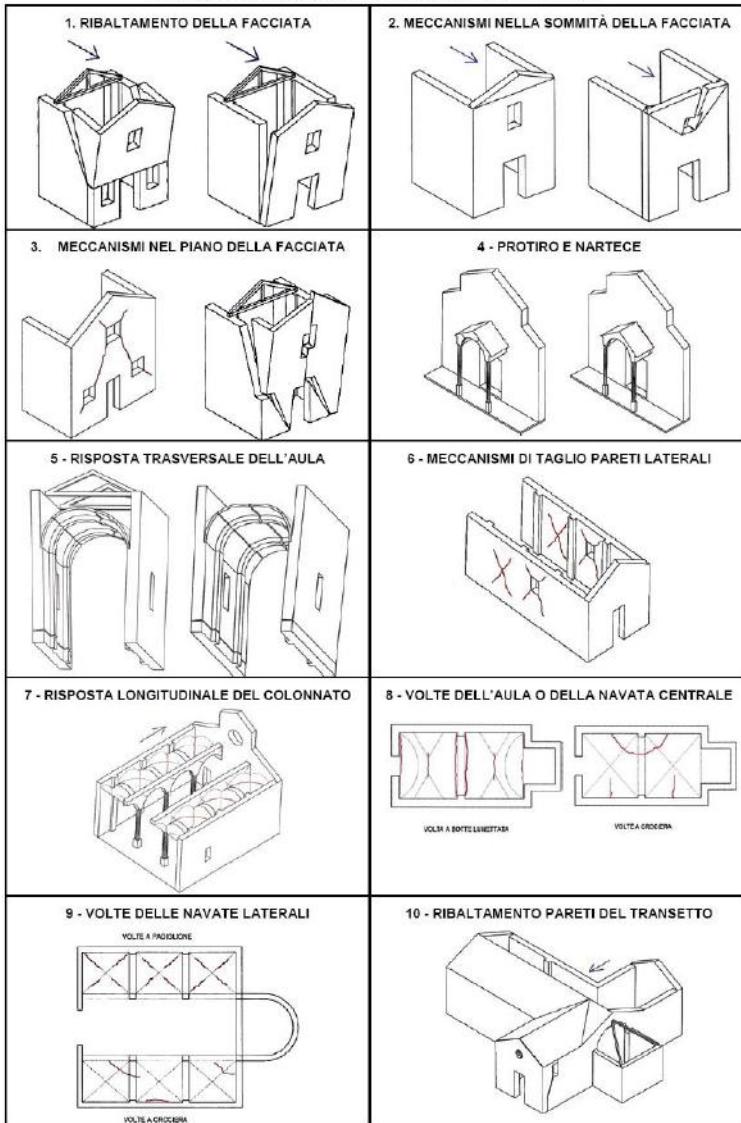
Uneven load capacity of the soil

Excessive soil sloping

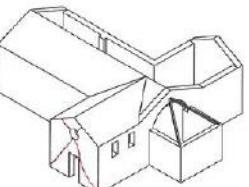
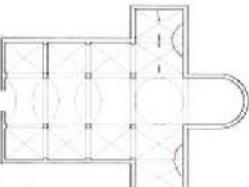
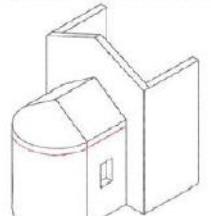
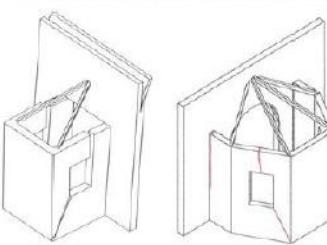
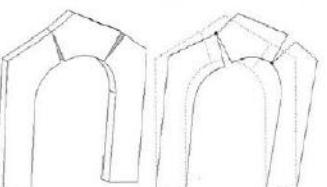
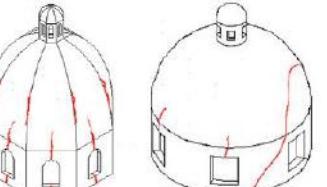
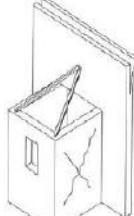
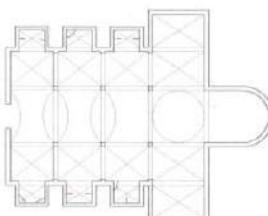
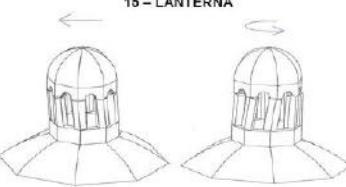
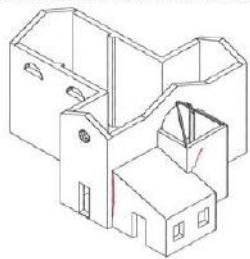
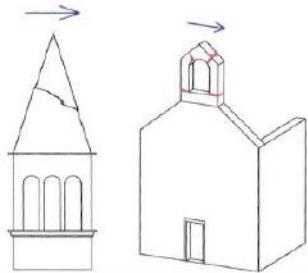
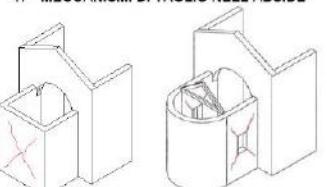
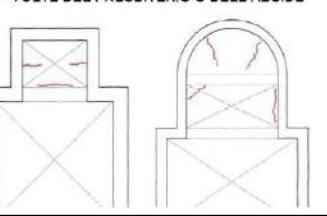
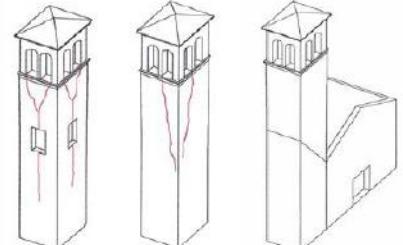
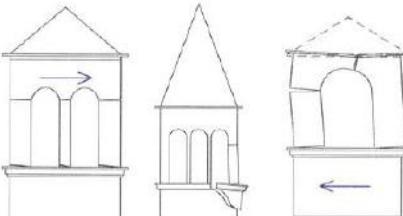
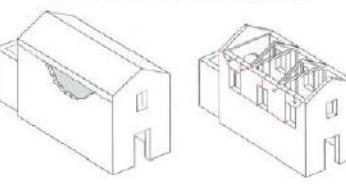
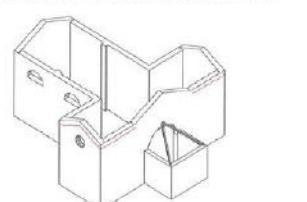


# DAMAGE CATALOGUE FOR SPECIFIC TIPOLOGIES: CHURCHES

ABACO DEI MECCANISMI DI COLLOSO DELLE CHIESE



# DAMAGE CATALOGUE FOR SPECIFIC TIPOLOGIES: CHURCHES

|  |   |  |   |
|--|---|--|---|
| 11 - MECCANISMI DI TAGLIO DEL TRANSETTO  | 12 - VOLTE DEL TRANSETTO  | 21 - ELEMENTI DI COPERTURA: ABSIDE   | 22 - RIBALTAMENTO DELLE CAPPELLE  |
|   |    |   |    |
| 13 - ARCHI TRIONFALI   | 14 - CUPOLA E TAMBURNO / TIBURIO  | 23 - MECCANISMI DI TAGLIO NELLE CAPPELLE   | 24 - VOLTE DELLE CAPPELLE   |
|   |    |   |    |
| 15 - LANTERNA  | 16 - RIBALTAMENTO DELL'ABSIDE   | 25 - INTERAZIONI IN PROSSIMITÀ DI IRREGOLARITÀ                                       | 26 - AGGETTI (VELA, GUGLIE, PINNACOLI, STATUE)  |
|    |    |  |   |
| 17 - MECCANISMI DI TAGLIO NELL'ABSIDE  | 18 - VOLTE DEL PRESBITERIO O DELL'ABSIDE  | 27 - TORRE CAMPANARIA  | 28 - CELLA CAMPANARIA   |
|  |   |  |  |
| 19 - ELEMENTI DI COPERTURA: AULA   | 20 - ELEMENTI DI COPERTURA: TRANSETTO   |  |   |
|  |  |  |   |

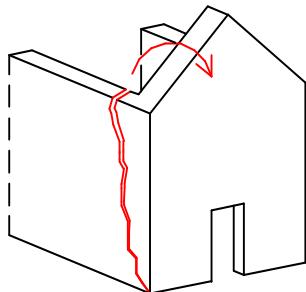
Modello A-DC PCM-DPC MiBAC 2006



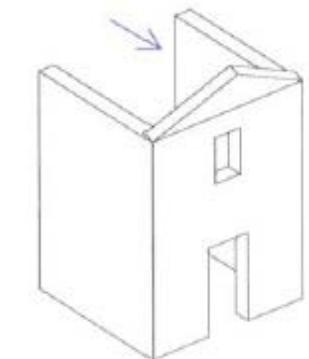
UNIVERSITÀ  
DEGLI STUDI  
DI PADOVA

# DAMAGE OF CHURCHES: BEHAVIOR OF MACRO-ELEMENTS

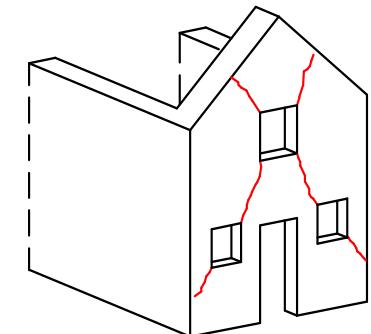
OVERTURNING OF FAÇADE



OVERTURNING OF UPPER PART OF FAÇADE

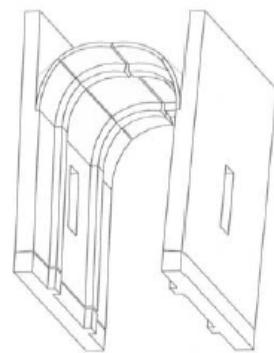


IN-PLANE MECHANISMS ON FAÇADE

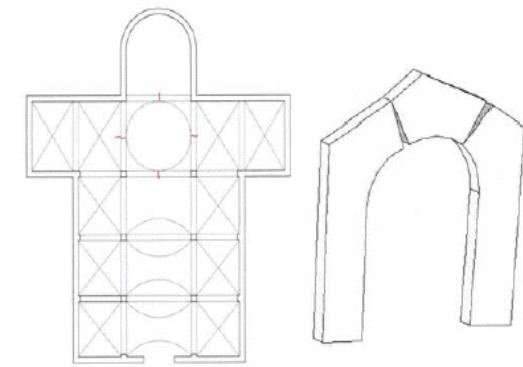


# DAMAGE OF CHURCHES: BEHAVIOR OF MACRO-ELEMENTS

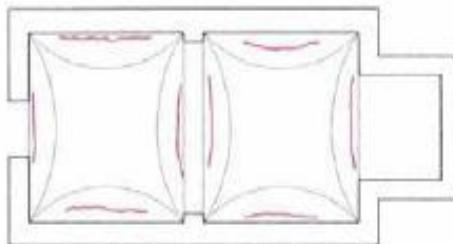
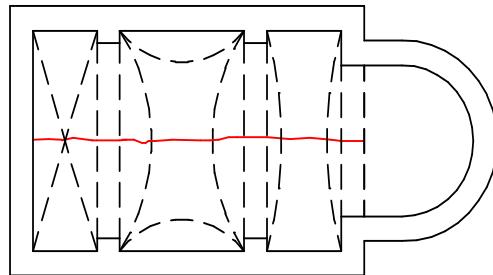
Transversal mechanism of nave



Kinematic mechanism of triumphal arch



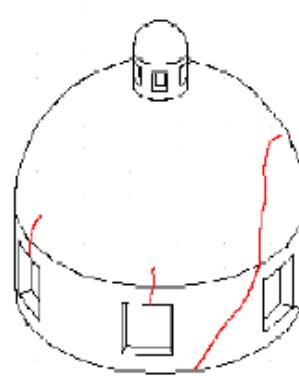
Vaults of central nave



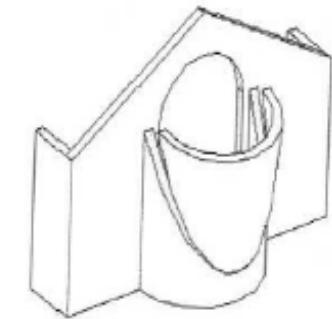
VOLTA A VELA

# DAMAGE OF CHURCHES: BEHAVIOR OF MACRO-ELEMENTS

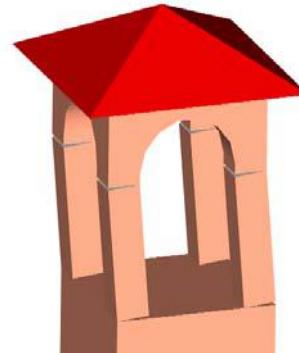
Dome



OVERTURNING OF APSE

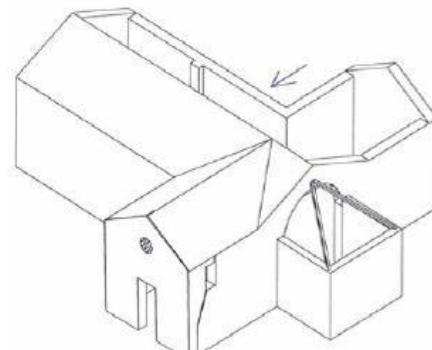


BELL TOWER AND BELFRY

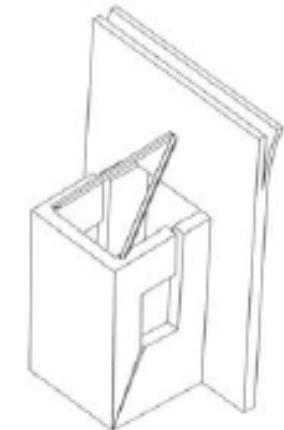


# DAMAGE OF CHURCHES: BEHAVIOR OF MACRO-ELEMENTS

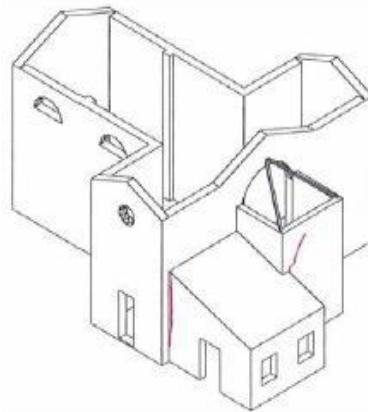
Overturning of transept



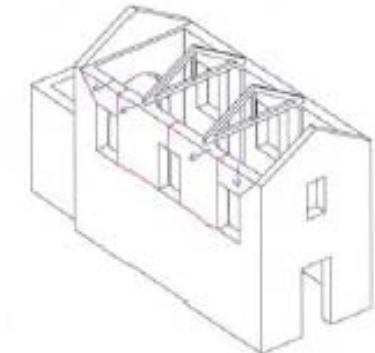
Overturning of side chapels



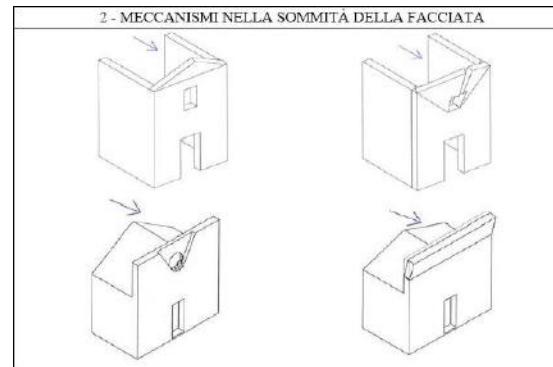
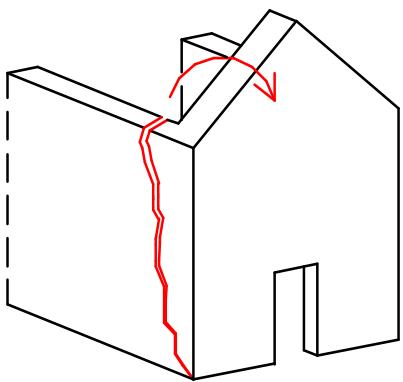
Interaction due to plan-elevation irregularities



Mechanisms in roof elements



# DAMAGE OF CHURCHES: OVERTURNING



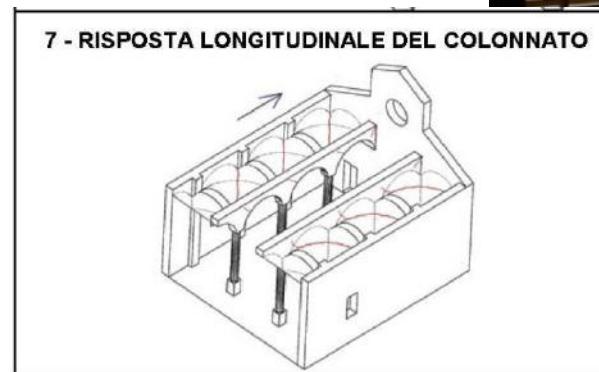
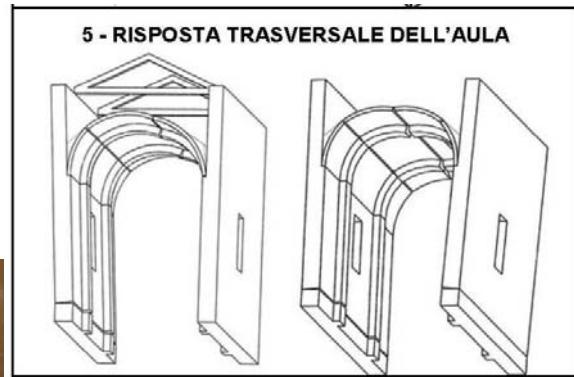
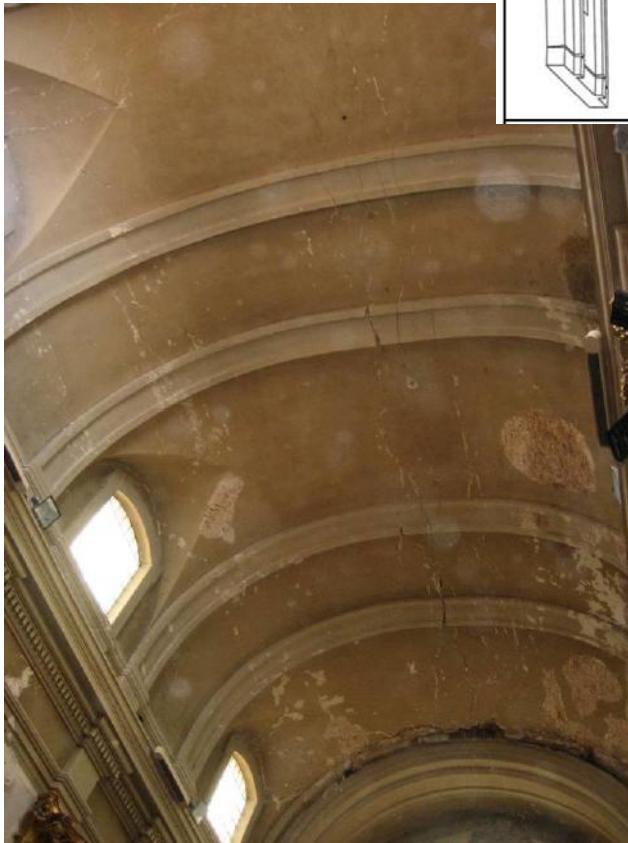
Santa Gemma, Goriano Sicoli (AQ)



San Biagio D'Amiterno, L'Aquila

# DAMAGE OF CHURCHES: LONG AND TRANSV RESPONSE

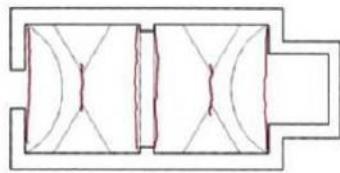
Santa Margherita (dei Gesuiti), L'Aquila



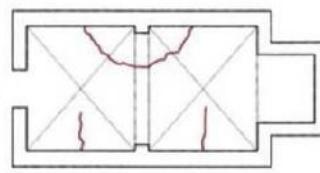
Santa Gemma, Goriano Sicoli (AQ)

# DAMAGE OF CHURCHES: NAVES AND TRIUMPHAL ARCH

## 8 - VOLTE DELL'AULA O DELLA NAVATA CENTRALE

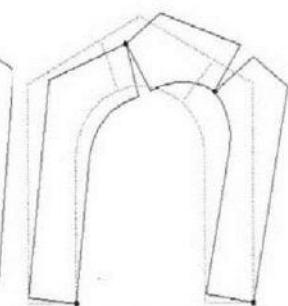
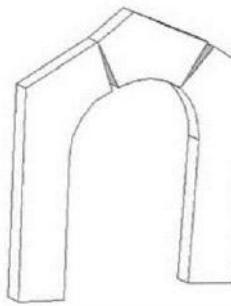


VOLTA A BOTTE LUNETTATA



VOLTA A CROCIERA

## 13 - ARCHI TRIONFALI



Beata Antonia, L'Aquila

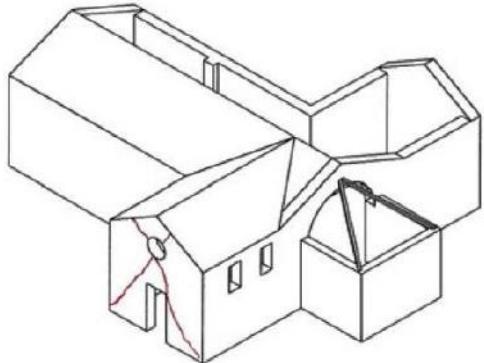


San Marciano e Nicandro, L'Aquila



# DAMAGE OF CHURCHES: TRANSEPT

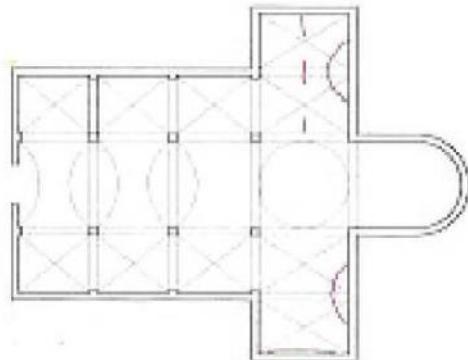
11 - MECCANISMI DI TAGLIO DEL TRANSETTO



San Domenico, L'Aquila

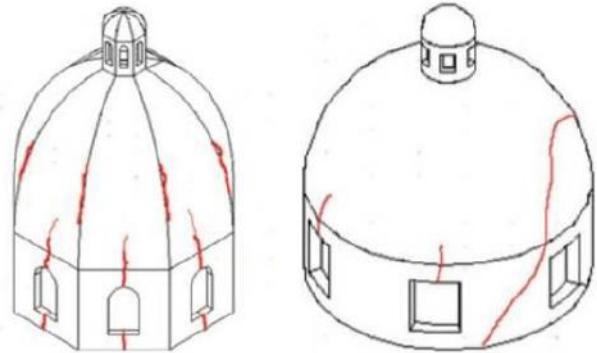


12 - VOLTE DEL TRANSETTO



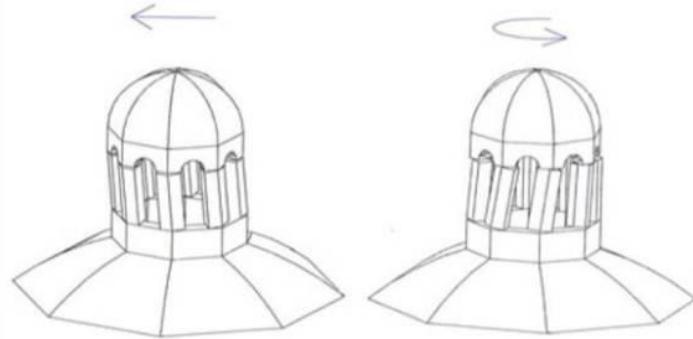
# DAMAGE OF CHURCHES: DOME

14 - CUPOLA E TAMBURIO / TIBURIO



Anime Sante, L'Aquila

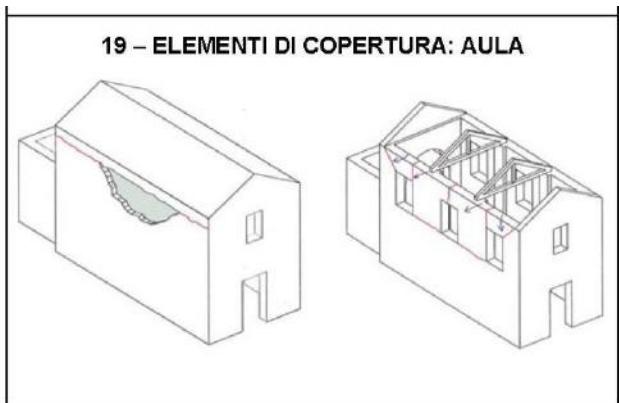
15 – LANTERNA



Sant'Agostino, L'Aquila



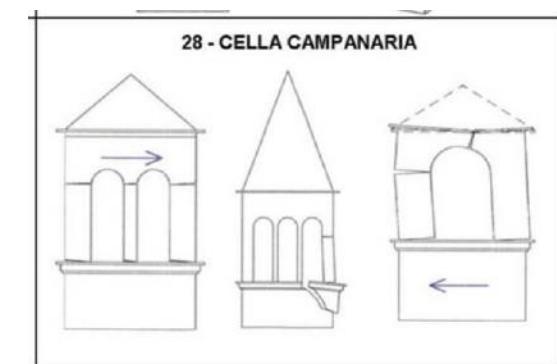
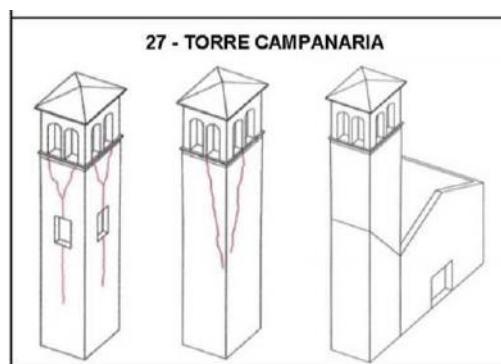
# DAMAGE OF CHURCHES: ROOF



Chiesa di San Michele & Chiesa Parrocchiale, Villa Sant'Angelo (AQ)



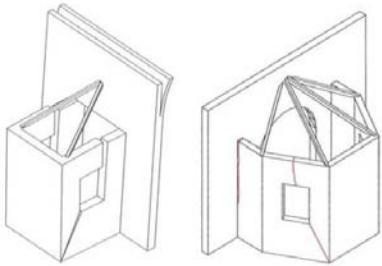
# DAMAGE OF CHURCHES: PROJECTIONS, PINNACLES, BELL TOWER



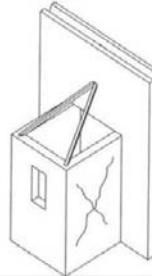
Chiesa di San Michele & Chiesa Parrocchiale, Villa Sant'Angelo (AQ)

# DAMAGE OF CHURCHES: SIDE CHAPELS AND VAULTS

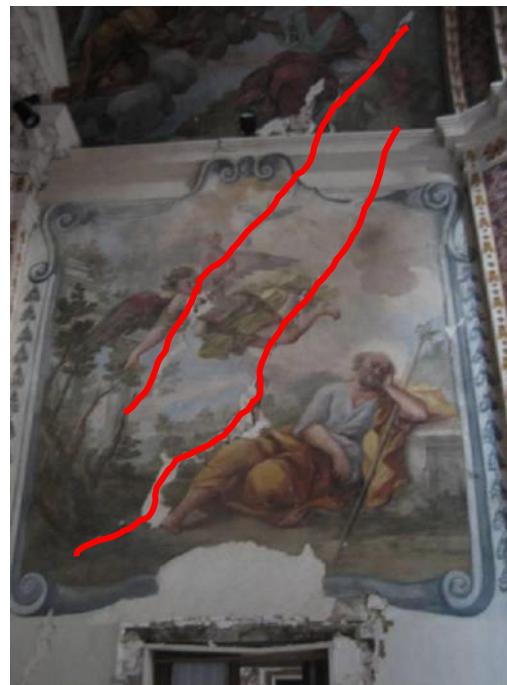
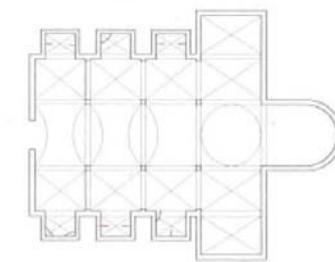
22 - RIBALTIMENTO DELLE CAPPELLE



23 - MECCANISMI DI TAGLIO NELLE CAPPELLE



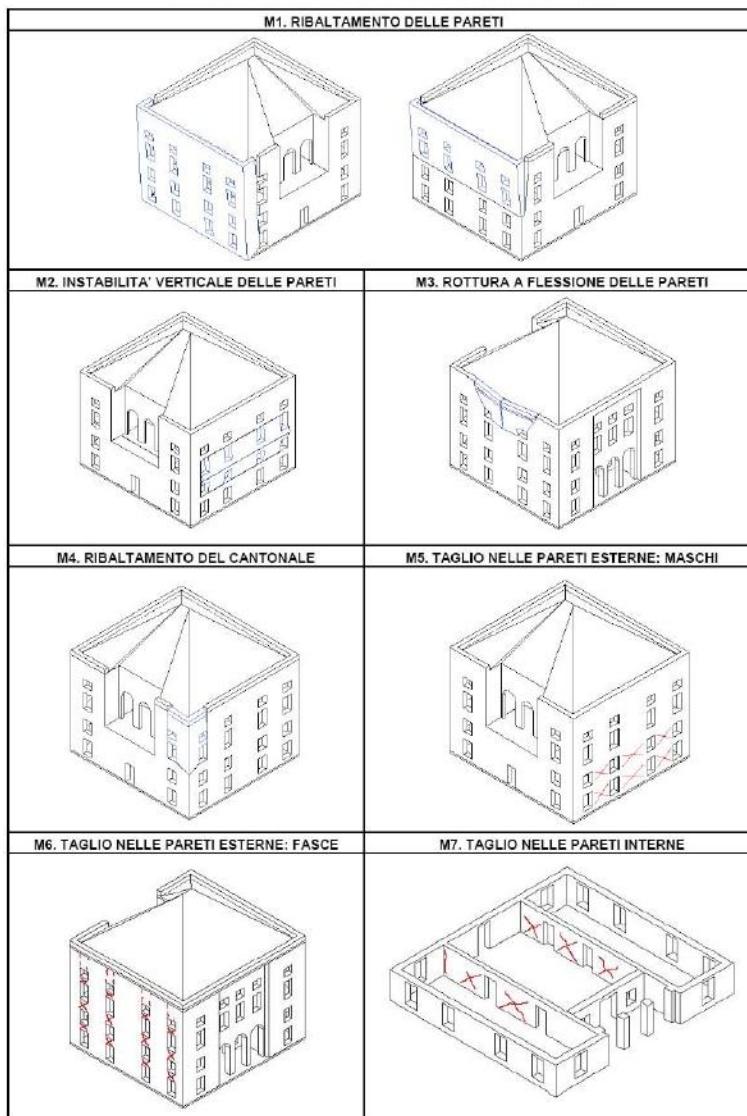
24 - VOLTE DELLE CAPPELLE



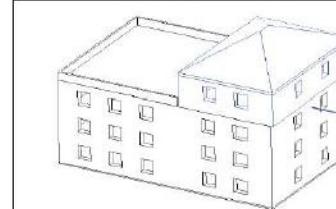
Santa Margherita (dei Gesuiti), L'Aquila

# DAMAGE CATALOGUE FOR SPECIFIC TIPOLOGIES: PALACES

ABACO DEI MECCANISMI DI COLLASO DEI PALAZZI



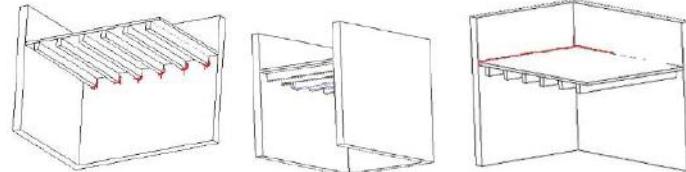
M8. SCORRIMENTO DI PIANO



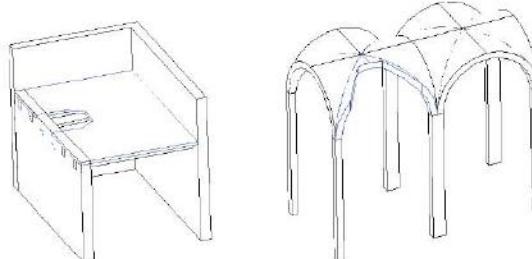
M9. DANNI AI PORTICATI/ LOGGE



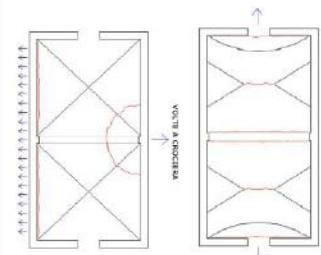
M10. SFILAMENTO TESTA DELLE TRAVI E/O MARTELLAMENTO



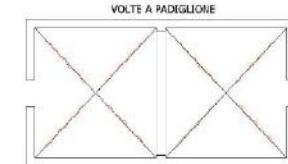
M11. COLLASSI LOCALI DELL'IMPALCATO O DELLA VOLTA



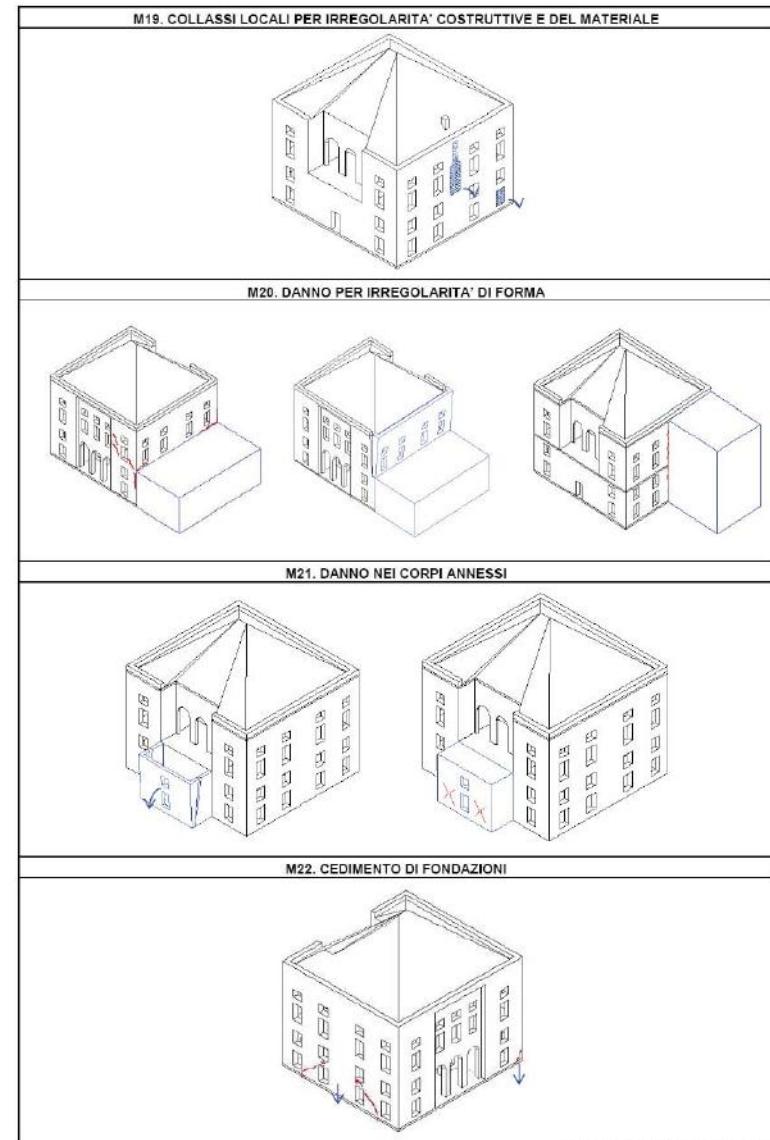
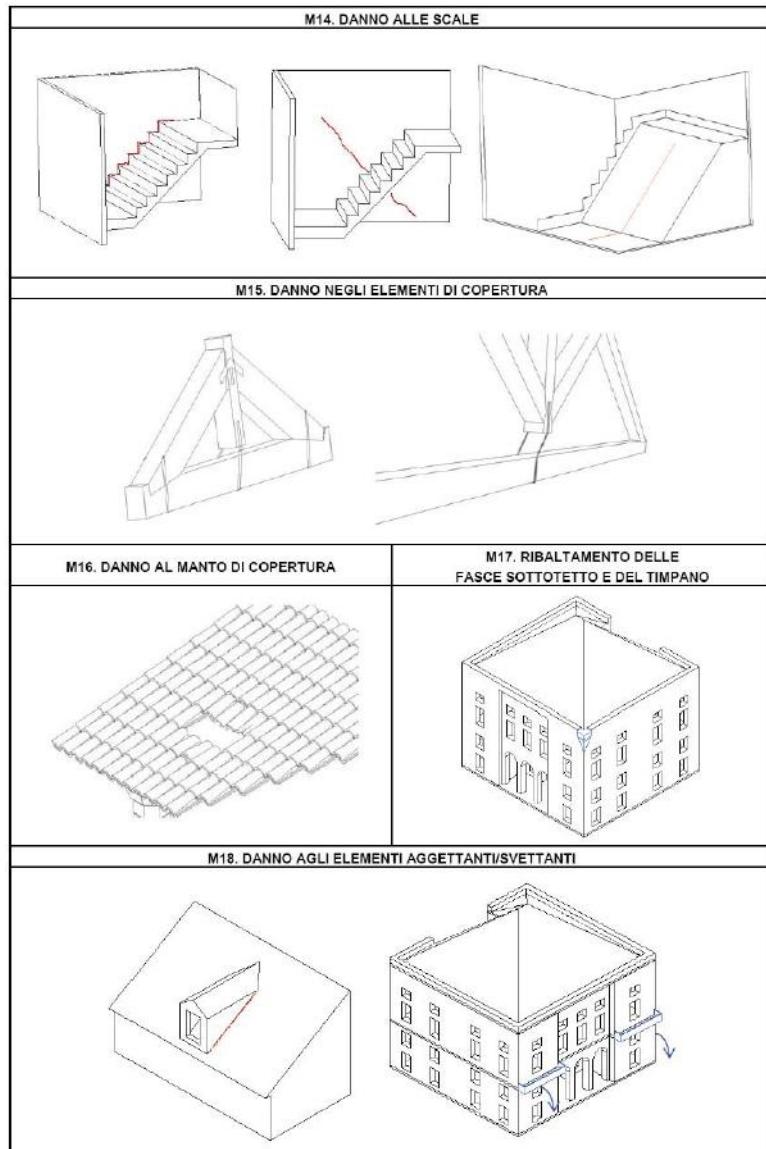
M12. DANNI ALLE VOLTE PER ROTAZIONE DELLE IMPOSTE



M13. DANNI ALLE VOLTE PER DEFORMAZIONE DI PIANO

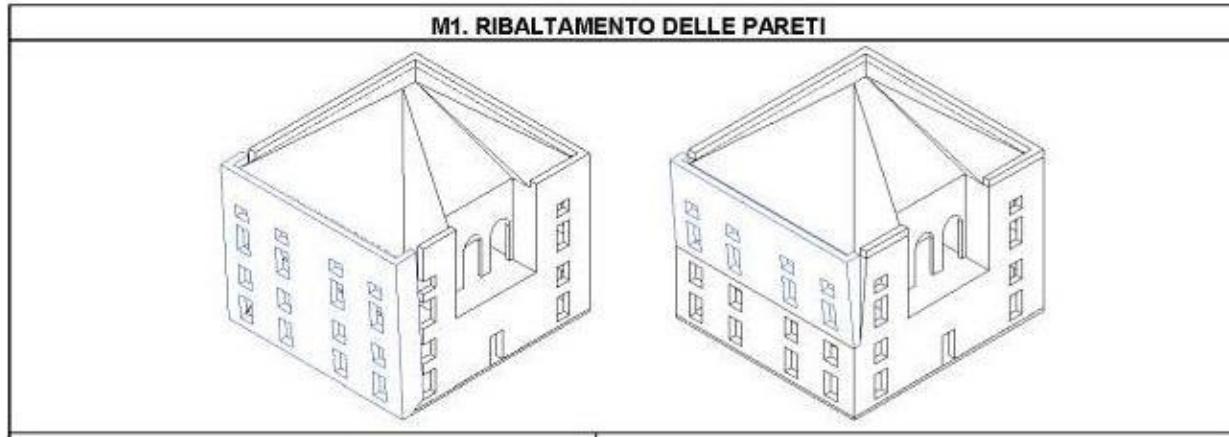


# DAMAGE CATALOGUE FOR SPECIFIC TIPOLOGIES: PALACES



Modello B-DP PCM-DPC MiBAC 2006

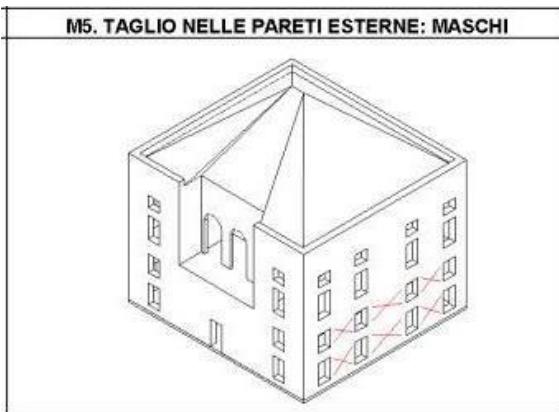
# DAMAGE OF PALACES: OUT-OF-PLANE COLLAPSE



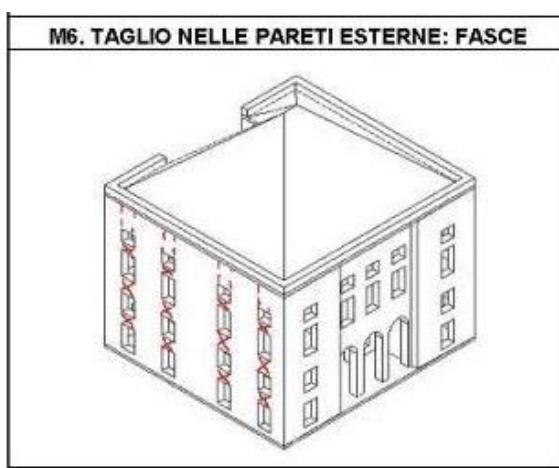
via Roma, L'Aquila



# DAMAGE OF PALACES: SHEAR FAILURE

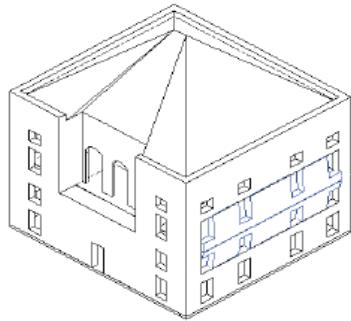


Villa Sant'Angelo, AQ

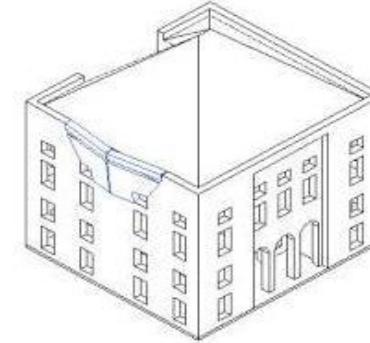


# DAMAGE OF PALACES: FLEXURE OF WALLS

M2. INSTABILITA' VERTICALE DELLE PARETI



M3. ROTTURA A FLESSIONE DELLE PARETI



Ex Monastero di Santa Teresa, L'Aquila



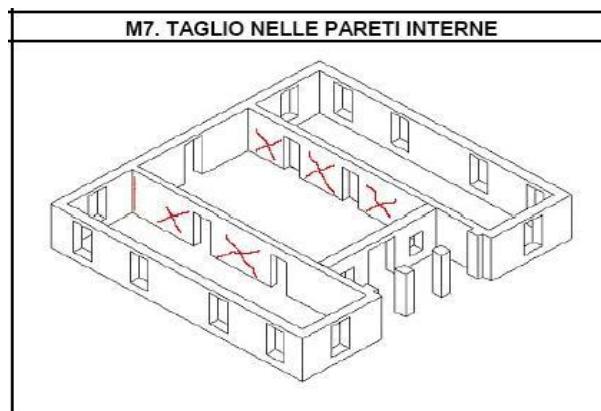
Palazzo in Piazza San Pietro, L'Aquila



# DAMAGE OF PALACES: CORNER EXPULSION AND SHEAR DAMAGE



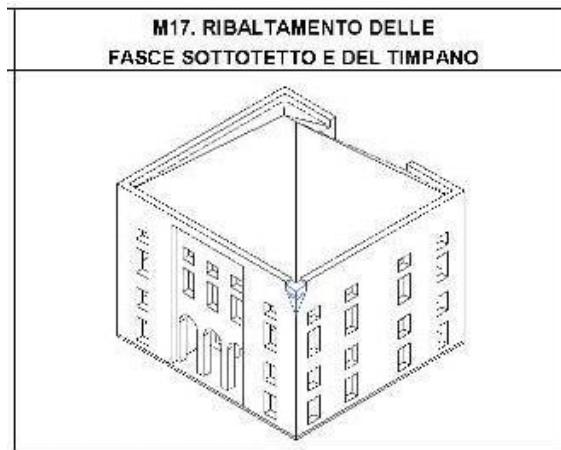
Via Roma e Piazza San Domenico, L'Aquila



Ex Monastero di Santa Teresa, L'Aquila



# DAMAGE OF PALACES: PARTIAL OVERTUNING, IRREGULARITY



Via Duca degli Abruzzi, L'Aquila

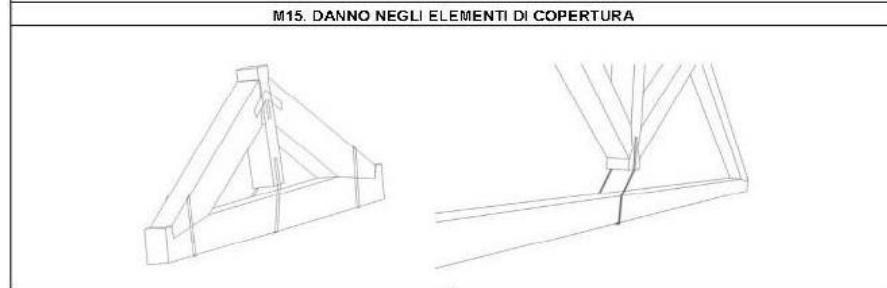


Ex Monastero di Santa Teresa, L'Aquila

# DAMAGE OF PALACES: VAULTS AND ROOFS



Ex Monastero Santa Teresa,  
L'Aquila



Via Roma, L'Aquila



# DAMAGE CATALOGUE: POOR QUALITY MASONRY



San Michele Arcangelo, Celano



Prefettura, L'Aquila

# DAMAGE CATALOGUE: POOR QUALITY MASONRY

Emilia, 2012

- Thick walls made with solid clay brick
- Multi-layer walls with scarce interconnection



Collegiata Santa Maria Maggiore, Mirandola



Novi, SS Rovereto



San Martino, Buonacompra



# DAMAGE CATALOGUE: POOR QUALITY MASONRY

Abruzzo, 2009



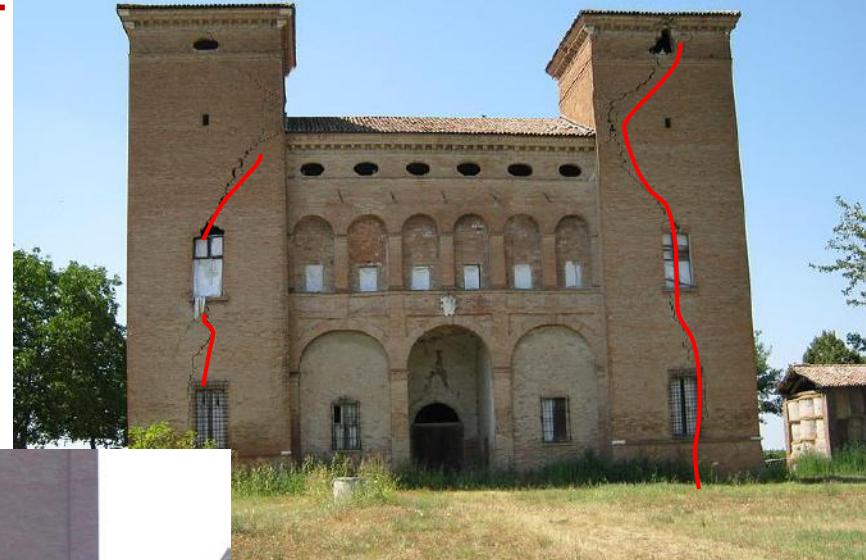
San Michele, Villa Sant' Angelo



- Unstable masonry, disaggregation

Emilia, 2012

San Prospero



San Prospero,  
Cavezzo



Collegiata Santa Maria

- Cracking and mortar joint sliding



Maggiore, Mirandola

# EFFECT OF INTERVENTIONS



**ABSENCE OF TIES**



**USE OF REINFORCED CONCRETE**

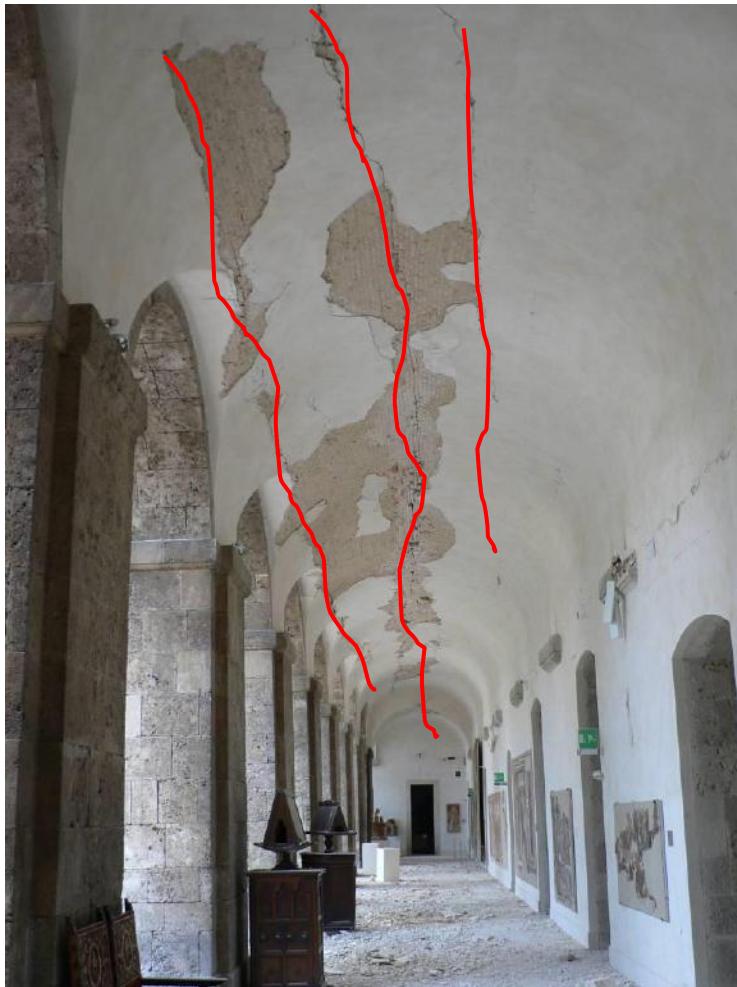
# EFFECT OF INTERVENTIONS: ABSENCE OF TIES

2009



# EFFECT OF INTERVENTIONS: ABSENCE OF TIES

2009

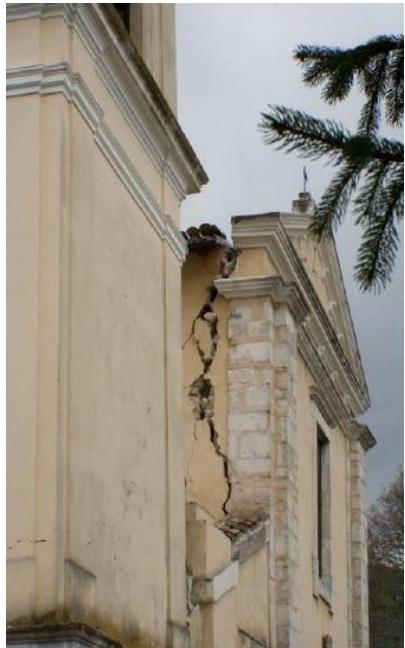


Forte Spagnolo, L'Aquila



# EFFECT OF INTERVENTIONS: ABSENCE/LACK OF TIES

2009



# EFFECT OF INTERVENTIONS: PRESENCE OF TIES

**2009**



# EFFECT OF INTERVENTIONS: PRESENCE OF TIES

Palazzo in via Buccio di Ranallo: wood elements for ties and fixing

2009



# EFFECT OF INTERVENTIONS: PRESENCE OF TIES



**2009**

Santa Maria del Soccorso:  
**wood elements for ties  
and fixing**



# EFFECT OF INTERVENTIONS: PRESENCE OF TIES

**2012** Collegiata Santa Maria Maggiore, Mirandola



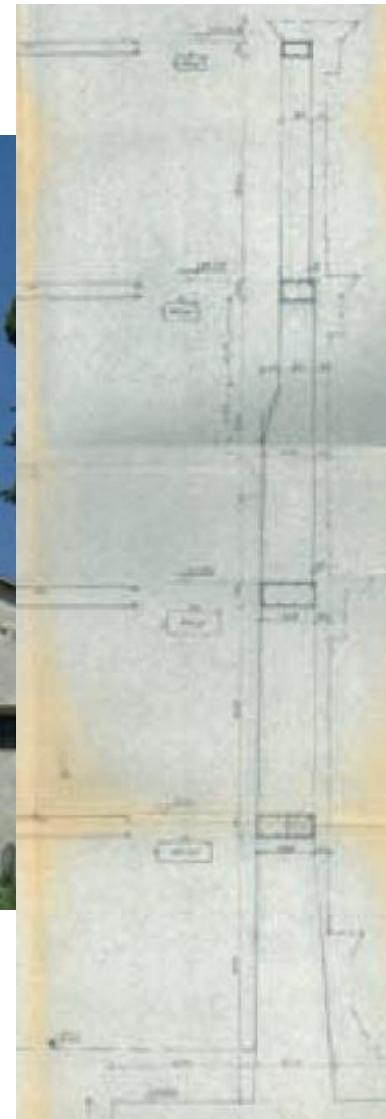
- Presence of ties but not adequately evaluated
- Damage of fixing elements

# EFFECT OF INTERVENTIONS: USE OF REINFORCED CONCRETE

SAN BERNARDINO, L'AQUILA



The façade is supported by a R.C. frame  
executed on 1960



# EFFECT OF INTERVENTIONS: USE OF REINFORCED CONCRETE



FORTE SPAGNOLO, L'AQUILA

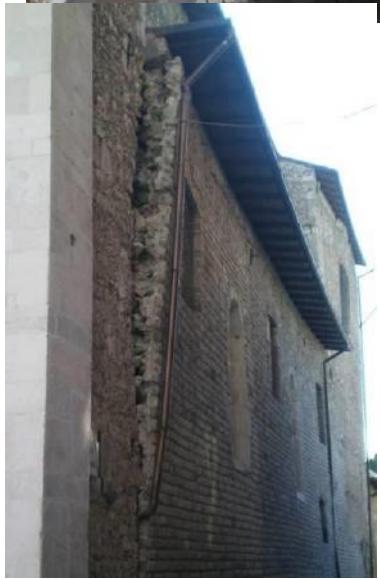
# EFFECT OF INTERVENTIONS: USE OF REINFORCED CONCRETE

DUOMO, L'AQUILA



# EFFECT OF INTERVENTIONS: USE OF REINFORCED CONCRETE

SAN MARCO, L'AQUILA



# EFFECT OF INTERVENTIONS: USE OF REINFORCED CONCRETE



San Domenico, L'Aquila



San Biagio d'Amriterno,  
L'Aquila

# EFFECT OF INTERVENTIONS: USE OF REINFORCED CONCRETE

Asilo via Antonelli, L'Aquila



# EFFECT OF INTERVENTIONS: USE OF REINFORCED CONCRETE

Teatro Comunale,  
L'Aquila



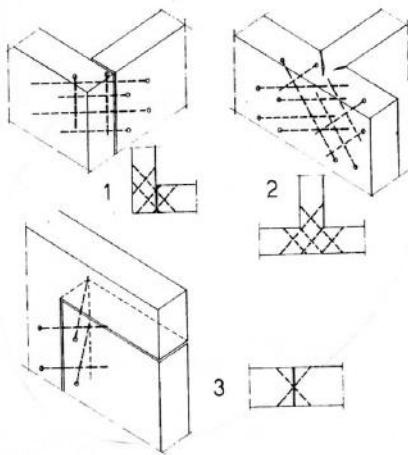
Palazzo via Roma , L' Aquila



# EFFECT OF INTERVENTIONS: USE OF REINFORCED CONCRETE



## EFFECT OF INTERVENTIONS: STITCHING OF MASONRY

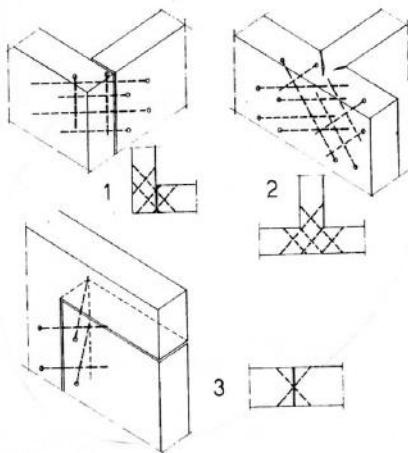


- obtrusive
- low effective connections
- problem of bond between masonry and rebars



Onna (L'Aquila)

## EFFECT OF INTERVENTIONS: STITCHING OF MASONRY



- obtrusive
- low effective connections
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## International Seminar

### Assessment and improvement of structural safety under seismic actions of existing constructions: Reinforced Concrete Structures and Historical buildings

SCE - Shamo'on College of Engineering, Beer Sheva - 29 November 2015

International Conservation Center, Citta' di Roma, Old Acre - 1 December 2015

### Lessons learned from the past earthquakes: damages catalogues and interpretation

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THANKS FOR YOUR  
ATTENTION